



THE UNIVERSITY OF THE WEST INDIES

STRATEGIC PLAN 2007-2012

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UNIVERSITY COUNCIL
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OFFICE OF PLANNING AND DEVELOPMENT

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UWI 2012

Strategic

Transformation

for

Relevance

Impact

Distinctiveness

and

Excellence

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THE PLANNING PROCESS

01. On May 1, 2006, Vice Chancellor, Professor E. Nigel Harris, launched the process for the preparation of a new strategic plan to guide the development of the University over the five year period 2007-2012.

02. The leadership of the University attached considerable importance to the design and implementation of a process that maximized the opportunities for participation and inputs from internal and external stakeholder groups. At the start of the process, the Vice Chancellor wrote personally to each member of staff advising that the process was about to commence and urging them to take advantage of the opportunities for helping to shape the future of UWI. The Vice Chancellor also wrote to Prime Ministers and the various Ministers responsible for tertiary education inviting their inputs and those of relevant public officials.

03. Two consultants with extensive experience in facilitating strategic planning processes at universities in the United States of America were engaged to assist with the exercise.

04. Five Planning Task Force (PTF) Groups were appointed after consultation with the Campus Principals and the Pro Vice Chancellor for the Non-Campus Countries. The following persons were selected to be the Chairpersons of the PTF Groups:

Cave Hill Campus – Professor Andrew Downes

Mona Campus – Professor Alvin Wint

St. Augustine Campus – Dr. Hamid Ghany

University Centre – Professor Neville Duncan

UWI-12 Countries & the Outreach Sector – Dr. Vivienne Roberts

05. The Chairpersons of the PTF Groups together with the members of Executive Management were constituted as a Steering Committee to oversee the entire planning process.

06. The PTF Groups were entrusted with the responsibility to manage an extensive engagement process with the stakeholders in their constituencies. The engagement activities utilized were quite diverse. The following are examples of the approaches:

- Face-to-face meetings with staff of Academic and Administrative Departments
- Face-to-face meetings with individual and small groups of Departmental Heads
- Town Hall Meetings with large groups of staff at all levels
- Focus Group meetings with undergraduate and graduate students and guild representatives
- Meetings with representatives of Ministries of Education/Ministries responsible for Tertiary Education matters
- Meetings with private sector organizations, professional bodies, community-based organizations, etc.
- On-line surveys
- Country Consultations

07. The University's Management Team refrained deliberately from presetting the strategic agenda. This allowed stakeholders as a body the unprecedented opportunity to influence the shaping of the strategic focus. Literally thousands of persons participated in some way in the process.

08. Among the milestone events in the planning process were the following activities:

- a Sense-making Conference at the St. Augustine Campus on October 14th 2006 to analyze the feedback from an intensive period of stakeholder consultation
- a Vision Conference held at the Mona Campus on November 8th 2006 – external stakeholders were invited to participate in the activity
- a 2-day Goal Setting Conference also held at the Mona Campus on February 3rd and 4th, 2007
- a 2-day Retreat of the Steering Committee held at the Cave Hill Campus on March 23rd and 24th, 2007 to decide on the configuration of the strategic focus, discuss campus agendas and their alignment with the University's plan.

09. The leadership of the University is extremely pleased with the commitment, enthusiasm and effort of the many persons who participated in this inclusive planning effort. Special thanks are due to all the members of the PTF Groups and to those who worked on the preparation of the detailed Action Plans. Special thanks are due as well to those who took the time to document and submit their views in writing at various stages of the process.

THE CONTEXT

10. The University of the West Indies (UWI) will be celebrating its sixtieth anniversary during the first year of the new strategic plan period. Both the University and the world in which it operates have undergone great changes during the six decades since its founding. Over that period, the UWI evolved from a small, mainly residential academy in an elitist higher education setting into a relatively large publicly-funded institution with three campuses, a combined enrolment of almost 40,000 students and an annual output of some 6,600 graduates who have earned first degrees, higher degrees and advanced diplomas. That transformation, essentially a response to complex forces of change of a social, political and economic nature, enabled the institution to remain relevant and to sustain an unrivalled contribution to social mobility and national and regional development.

11. In the previous plan, we noted that higher education globally was being rapidly transformed in response to several factors, among them: increasing competition among providers, the pace of technological innovation, the impact of globalization, funding constraints, the demand for relevance, and social pressures to expand access. Many of these technological, economic and social forces of change will continue to exert great influence on the reshaping of higher education internationally.

12. The following will be of particular importance to the UWI going forward to 2012:

- the dynamics of the knowledge-based economy and society
- the multiple impacts of globalization, including implications of the General Agreement on Trade in Services (GATS)
- the public policy commitment of contributing countries to the expansion of participation in tertiary education
- the continuing revolution in information, computer and telecommunication technologies

- the three-pronged challenge of matching higher education transformation globally, keeping pace with the knowledge revolution especially in Science and Technology and responding effectively to regional challenges including providing solutions to pressing problems and democratizing higher education

13. **Challenges and Opportunities in the External Environment**

Challenges

- To continue to produce leaders (in the professions, government and the public services, academia, finance, industry, etc.) from an increasingly diverse student population in terms of abilities, prior preparation, aptitudes and interests
- To maintain an ability to recruit and retain high quality students and faculty in the face of global competition for talent at every level
- Growth of publicly funded national tertiary level institutions, including new universities, in direct competition with UWI for funding and teaching staff
- Necessity to build and expand the capacity for research and innovation in the absence of structured national and regional mechanisms for funding relevant research.
- To maintain and improve standards of teaching and research.

Opportunities

- Knowledge is the new form of wealth and the creation of new knowledge is central to the university's role
- The 21st century economy is generating an increased demand for more highly-skilled workers
- Lifelong learning and continuing professional education will sustain a strong demand for higher education
- Development of research and innovation capacity has the potential to increase the impact of UWI on the region
- Optimum use of new enabling technologies can allow UWI to serve all of its contributing countries more flexibly and effectively.

- There are opportunities to partner strategically with other universities, knowledge networks, and the corporate sector in niche areas of research and development aligned to UWI's strengths that can serve to build international recognition and access to resources.
- There are opportunities to garner funds from alumni by involving and engaging them in University affairs. There are opportunities for strategic alumni partnerships and for well-placed alumni to "open doors", and for annual planned and legacy giving.

14. **Internal Strengths and Weaknesses of the UWI**

Strengths

- UWI enjoys a special status as a regional institution supported by 15 member countries, with a presence in each of them
- The UWI brand has maintained for decades a reputation for high standards
- Within the region, the UWI represents a unique concentration of highly qualified staff skilled in a wide range of disciplines
- The UWI possesses an unrivaled pool of expertise on matters relating to the Caribbean
- The UWI is still the recognized leader in the field of higher education in the region
- The stellar achievements of our graduates in leadership positions in many fields regionally and internationally are symbols of institutional excellence
- Academic staff of UWI has generated a significant body of intellectual output over the years
- The UWI is a highly respected port of call for advice for CARICOM governments and the University serves as a source of research and expert services to many successful enterprises in the region
- The University boasts lovely campus settings.

Weaknesses

Any objective assessment of UWI will acknowledge some existing weakness that must be addressed if the University is to enhance its relevance and effectiveness. Several of these internal challenges have been identified in the Report of the Chancellor's Task Force on Governance. They are:-

- the instability of funding and the heavy dependence on governments for core support
- the overwhelming dominance of the three existing campuses by students from the host country, contributing to the erosion of the regional character of the University
- the need for more active engagement of external stakeholders
- the need for better dissemination of information on research findings, developments within the University and in general much more effective strategies for marketing and internal and external communications
- underdeveloped alumni relations that prevents the UWI from harnessing the potential for support from its vast pool of graduates
- the fact that the University has been slow to exploit the great potential of new information and telecommunications technologies to extend the reach of the university and to offer a wide variety of programmes in flexible and convenient delivery formats
- the rapid growth of enrolment in recent years without an accompanying increase in resources.

To these weaknesses should be added:

- management systems and processes that are in need of further modernization, streamlining and integration, despite the introduction of computer-based enterprise solutions
- decision making mechanisms that are still overly bureaucratic and slow and that tend to impair the agility and responsiveness of the University
- the need for culture change to foster institutional behaviour and outcomes fully consistent with the University's commitments and aspirations

The Transformation Challenge

15. In order to be well equipped to deal with the challenges and take full advantage of the opportunities presented by the changing external environment, the University will have to accelerate and deepen its own transformation over the next five years. The main elements of the required transformation are:-

- Enhancing responsiveness to legitimate stakeholder needs and expectations

- Infusing systems and processes with the flexibility required of a responsive and agile organisation
- Managing culture change to foster efficiency, effectiveness, excellence and accountability at every level.

OUR MISSION

16. The enduring mission of The University of the West Indies is to propel the economic, social, political and cultural development of West Indian society through teaching, research, innovation, advisory and community services and intellectual leadership.

17. This mission requires UWI to:

- provide the population of the region with access to high quality academic programmes that are effectively delivered and that help to build strong individual, national and regional capacities in response to changing human resource needs;
- provide complementary opportunities for higher education that foster intellectual development, creative activity and self-actualisation, enhance social and interpersonal relations, and enable students and alumni to have a broader frame of reference for specialised knowledge;
- conduct rigorous basic and applied research that serves to: (i) explore solutions to priority national and regional problems and challenges, (ii) create significant new knowledge, (iii) exploit developmental potential and comparative advantages, (iv) elucidate important contemporary social issues, (v) situate self and society in a changing world order and (v) provide a sound basis for public policy formulation and decision making;
- maintain a capacity to supply a wide range of expert technical, professional and advisory services to meet the needs of regional governments and the private sector and to involve its alumni in this process;
- assist its students and the population at large to achieve informed self-awareness through a deep understanding of the main economic, social, political and cultural currents that have combined to define West Indian society;
- help the region to comprehend the nature and significance of contemporary issues and emerging global influences;

- strive to be a significant contributor to global intellectual growth and human development by active scholarship that harnesses the creative energies, cultural diversity, social experiences, biodiversity and other assets of the region;
- assist generally in strengthening education and training systems, at all levels, throughout the region, and aid the development of the tertiary level education system in particular;
- assist the region to evaluate, assimilate, adapt and harness major new technologies in order to optimise potential benefits or limit negative impacts;
- develop strategic alliances with other institutions to expand access to tertiary education, as well as the scope of teaching and research; and
- foster an intellectually stimulating environment that can attract academic staff and students of high quality and in which ideas contend vigorously.

CORE VALUES

18. The University of the West Indies cherishes and is determined to preserve its core value system, which has been moulded by generations of staff and students for almost sixty years. This value system is characterized by the following strongly-held ideals:

- maintaining a commitment to the pursuit of excellence;
- assisting students to develop a capacity for independent thought and critical analysis;
- stimulating self-awareness and social awareness;
- nurturing a keen sense of individual and social responsibility;
- building respect for cultural diversity and the rule of law;
- promoting Caribbean identity and sovereignty, together with the development and protection of nationhood;
- cultivating multidisciplinary and interdisciplinary collaboration and involving alumni in this process as experts/guest speakers;
- preserving a climate of intellectual freedom;
- engendering in students a commitment to personal growth;
- fostering ethical values, attitudes and approaches; and
- encouraging community service and involvement and dedication to development of the region.

OUR VISION FOR THE UWI

19. By 2012, the UWI will be an innovative, internationally competitive, contemporary university deeply rooted in the Caribbean, committed to creating the best possible future for all our stakeholders. It will be the university of first choice for the region's students and talented academics. It will provide a truly supportive environment that rewards excellence and it will be agile enough to thrive in a dynamic global environment.

20. In furtherance of this vision, the UWI will become:

- A learning institution that meets global performance standards in research, graduate and undergraduate teaching and learning;
- A university whose graduates are career-ready, exceptionally well-grounded in their disciplines, articulate and possess superior problem solving and critical thinking skills;
- A university noted for developing graduates who are socially conscious, regionally responsive, well-rounded, committed to ethical behaviour, globally attuned and able to work effectively, both independently and in teams;
- A university that is responsive to national needs while retaining a strong Caribbean identity and operating as a well-integrated institution in continuous dialogue with all its stakeholders;
- A university whose alumni feel committed and engaged and are recognized as an important part of the UWI family, willing to give back expertise and financial resources;
- A more effective agent for regional social, cultural and economic development;
- A sought after partner, enjoying beneficial relationships with international scholars, other universities, regional institutions, international agencies, and public, private and non-governmental sectors; and
- The leading advocate for an expanded and articulated tertiary education system of high standards.

21. The UWI will have:

- Enhanced its position as the premier institution of higher education in the region;
- Ensured that its degree continues to be the recognized standard of excellence; and

- Affirmed its status as the primary source for research and expert advice in dealing with the complex issues and challenges facing the region.

GETTING THERE

22. In order to achieve this vision for the UWI, over the next five years we will concentrate on building excellence in four areas that, taken together, represent the core activities of the University: teaching and learning, graduate studies, research and innovation and outreach.

23. At the same time, we recognize that success will be critically dependent on getting this right in the following areas:

- transforming the administrative culture and processes
- effective marketing and branding
- strengthening regionality
- strengthening the national engagement processes
- establishing and maintaining the commitment and involvement of alumni
- leveraging international partnerships, and
- funding the institution.

24. These additional themes represent important enablers without which it is unlikely that the University could rise to contemporary developmental and societal challenges.

THE CORE STRATEGIC FOCUS

TEACHING AND LEARNING

25. The primary way in which the University has traditionally served the population of the region is through undergraduate education. This is a major strength on which UWI has built a hard won reputation for high standards. It has taken in successive cohorts of the brightest and best from our secondary school systems and moulded them into competent graduates in a broad range of academic disciplines. The achievements of those graduates and the leadership they have

demonstrated within and outside the region, in Medicine, Engineering, Law, Literature, Politics, the Social Sciences and Education, among others, bear ample testimony to the University's success to date in unlocking human potential.

26. It is our ambition to continue to prepare graduates who are to be the future leaders of Caribbean societies and who can compete in the world. These graduates will need to be problem solvers; team players; open and receptive to new information; advanced, higher order, cognitive thinkers; creators of new forms of knowledge which can advance the development of the human race; effective communicators; and responsive to social needs.

27. However, today the student intake represents a much broader range of aptitudes and abilities as enrolment has expanded to facilitate access to higher education. The work environment into which our graduates enter when they leave the University has also been changing rapidly. Another factor is the rapid pace of change of technologies in use in the teaching and learning environment. In addition, employers have a greater expectation of work-readiness in the university graduates that they hire. Taken together, these considerations have necessitated fresh thinking about undergraduate education at UWI.

28. In a paper presented to the Board for Undergraduate Studies (BUS) in 2003, it was noted that the demand of the modern, globalised and technologically driven workplace was for graduates who can function independently and who have advanced thinking and reasoning skills; the ability to communicate effectively, both in oral and written terms and to think and perform creatively. It has also been emphasized that the curriculum must be innovative, dynamic, interdisciplinary, and pertinent and that it must combine teaching and training that stimulate students to pursue and develop new knowledge (*Beckles, H., The New Teaching and Learning Environment, 2000*).

29. In 2003, the Office of the Board for Undergraduate Studies sought to define the desirable attributes of UWI graduates. It was suggested that our graduates “*must be capable of independent learning, of educating themselves and analysing material which may not be particularly familiar to them, and to be able to appreciate how this material may have value in different contexts, either as possible solutions to seemingly unrelated problems or as stimuli in the generation of novel solutions to complex problems.*”

30. Similar views have been echoed in the course of the engagement exercises with stakeholders, undertaken as part of the current planning process.

For the above reasons, the strategic agenda for Teaching and Learning is centered on the preparation of a distinctive UWI graduate who will leave the University better prepared to meet the expectations outlined above. This challenge will in turn require us to address four dimensions of undergraduate education at the University: *Curriculum, Teaching and Learning, the Learning Environment and Quality Assurance*.

31. **Curriculum:** Curriculum is the academic plan at the heart of the high quality education experience we seek to provide for our students to develop the knowledge and skills that the UWI graduate of the future must possess. The core of the strategy will be comprehensive curriculum renewal to establish an appropriate educational platform. This process of curriculum renewal and rationalization will involve all teaching units, centres, departments and faculties and the Instructional Development Units in curriculum renewal and rationalization, through dynamic and reflective engagement. The process will entail the systematic review of all course offerings to determine whether the intentions have been realized. It will also provide for staff re-training and re-deployment to deal with the reallocation of resources when there is a need to close programmes.

32. In order to maintain fitness for purpose in a dynamic environment, programme reviews will be conducted every 3 to 5 years. There will be regular auditing of courses to ensure appropriate knowledge acquisition and skill development within the semester timeframes, the establishment of mechanisms for stakeholder feedback and measurement of programme effectiveness and alignment of course and programme offerings closer to market needs, discipline demands and students' interests.

33. **Teaching:** To prepare the distinctive UWI graduate, the UWI must engage in quality teaching. The quality of teaching is affected by a range of factors that relate to lecturers, their views of the students in the teaching and learning enterprise and their perception of the value placed on teaching by the institution.

34. Strategies/activities that will be used to develop teaching expertise over the next five years include training and certification of staff in pedagogical/andragogical skills and

methodologies that take cognizance of the changing classroom and classroom environment including the virtual classroom; recognizing and rewarding teaching and teaching innovations that produce desirable results including deeper involvement of students in solving real-life problems and in making important contributions to their communities and potential professions; facilitating a cooperative, collaborative teaching culture and the creation of enhanced teaching performance appraisal methods. A teaching track for staff that is based on a scholarly approach to teaching and which demonstrates evidence of reflective and best practice in teaching is another strategy proposed.

35. Every effort will be made to recognize and acclaim excellence in teaching and learning. In this way we expect to signal that teaching is a valued activity. We expect that in such an environment, more academic staff members would be encouraged to become more proficient in teaching and for some, fully engaged in the scholarship of teaching and learning.

36. **Learning:** While the UWI continues to compete for the brightest and the best students who are very well prepared to undertake University level work, the expansion of student intake has led to greater student diversity in terms of abilities, learning styles, levels of preparation, etc. The challenge for the University is to accept such diversity as given and find innovative ways to develop in all our students the desirable critical thinking and problem-solving skills, self-reliance, self-direction, self-motivation and the motivation to be lifelong learners. This will require a variety of approaches and opportunities for learning.

37. The mix of strategies to facilitate student learning will include active participation by student's development of learning skills that will serve them in both the short-term and long-run. Curriculum content will be revised to include more practical applications, capstone individual and team projects and case studies that challenge the students with real world situations, alongside an awareness of the insights gained by the research work carried out by UWI researchers, staff and graduate students, as well as cutting edge developments in the discipline. Assessment strategies will be aligned with new teaching/learning approaches and value will be attached to learning processes as well as learning outcomes.

38. **The Learning Environment:** Enhancement of the learning environment is an important component of the cluster of strategies that we propose to use to help our students develop into

superior graduates. Teaching and learning occur most successfully in a healthy intellectual, educational and student-centred environment.

39. We propose to make full use of communication technology to create stimulating learning environments. By using the Internet, video-conferencing and other modalities a teaching-learning environment will be created in which lecturers, learners, graduate teaching/research assistants, tutors, librarians and learning resources can all be networked, thus allowing students to learn anywhere, anytime and teachers to teach anywhere, anytime. Such learning environments will facilitate blended learning and synchronous and asynchronous interactions between learners. Tutorials comprising distributed groups of students, remote access to live lectures, access to digital libraries, computer simulations and many other means of supporting the learning process will be facilitated.

40. Investments will be made in upgrading and expanding the physical environment, including the provision of adequate infrastructure to cater to the growth in the student numbers. We will take advantage of new technology-enhanced learning modalities and support systems to provide student access to a range of services and facilities relevant to their classroom experiences and the broader socio-cultural experiences the UWI graduate should have.

41. Action will also be taken to streamline and make more effective all of the administrative processes – such as student recruitment, registration, tracking, advising and counselling systems that have a direct impact on the quality of the student's education experience at UWI.

42. **Quality Assurance:** Quality assurance is the formal mechanism for managing educational standards and quality. The UWI has in place an integrated QA system upheld by University regulations. It plays a key role in programme approval, monitoring and review procedures, and the examination system with procedures for External Examiners, second marking of scripts and reports from University examiners. In the professional Faculties, programme accreditation will be vigorously supported. Early implementation of recommendations for improvements in the system of Examination will be a priority in this plan period.

43. The mechanism of the Instructional Development Units (IDUs) has been playing a central role in the continuous enhancement of teaching quality through training and the dissemination of best practices to faculty. The units will be strengthened.

■ STRATEGIC AIM 1

To prepare a distinctive UWI graduate for the 21st century – one who has a regional frame of reference and exemplifies the following attributes:- (i) a critical and creative thinker, (ii) a problem solver, (iii) an effective communicator, (iv) knowledgeable and informed, (v) competent, (vi) a leader (vii) a team player, (viii) IT skilled and information literate, (ix) socially and culturally responsive, (x) ethical, (xi) innovative and entrepreneurial, (xii) a lifelong, self-motivated learner.

Strategies

1. Emphasize and carry out Curriculum Renewal as a reflective and dynamic process.
2. Enhance teaching quality.
 - Ensure that all new lecturers are trained and certified to deliver higher education programmes.
 - Promote the use of ICTs to enhance teaching.
 - Establish a Teaching Track.
3. Enhance learning effectiveness by providing students with a more diverse and flexible learning experience
4. Develop the Learning Environment to support the desired educational transformation.
5. Strengthen quality assurance and enhance academic standards.

Anticipated Impact

It is anticipated that attention to all of these areas will result in

- A high proportion of students graduating from UWI with the desirable and distinctive attributes of the ideal graduate
- More competitive students making UWI their first choice
- Improved throughput and completion rates
- Outstanding student achievements and recognition
- High levels of student satisfaction with the education experience at UWI
- High levels of employer satisfaction with the UWI graduate and greater job search success of graduates

- Mobility and career satisfaction for UWI graduates in the world of work
- Sustained improvement in teaching quality and staff recognition for teaching and learning
- Maintenance of UWI's position as the industry standard in the face of competition
- Innovative contributions to pedagogy and growth in journal publications, books, conference papers, etc. on teaching and learning scholarship
- Graduates having a stronger desire for continuous learning, graduate studies, professional development and research
- Growth in the enrolment of non-Caribbean/West Indian students.

Further details are set out in an Action Plan located at pages 74-76 of this document.

GRADUATE STUDIES

45. In this plan period, we will pursue the strategic development of graduate studies. The future success of UWI requires both the growth and quality enhancement of graduate studies, to allow the University to respond adequately to the region's need for an expanded pool of persons with advanced specialized skills.

46. For the foreseeable future, the development of capacity in the UWI for the delivery of high quality graduate education (and research) will be a major source of competitive advantage. This competitive advantage rests crucially on the existence within the University of a unique stock of highly-qualified academic staff engaged in both teaching and research at the leading edge and across a broad range of disciplines.

47. A factor favourable to the planned strategic development of graduate studies at UWI is that there exists a very buoyant demand for graduate and professional educational opportunities in the Caribbean region. In the context of the knowledge-based society, this trend is expected to continue. It may even intensify as the expanding tertiary level sector will in time generate steady increases in the proportion of the work force educated to first degree level. Additional influences in the external environment include the pace and impact of technical change and the rapidity of new knowledge creation globally, the demand for leadership excellence in government, business and industry and the need for new academics in the growing tertiary educational sector of the region.

48. In recent years, most Faculties on the three main campuses have responded to the demand for graduate education by increasing enrolment in existing academic programmes, revising some offerings and introducing new ones that address various emerging market needs. Much of this activity has centred on growing enrolment in the taught masters and advanced diplomas. The growth in enrolment in research degrees, particularly on a full-time study basis, has been by comparison very modest.

49. At present, UWI holds an advantage over other providers of higher education in the region in terms of its ability to expand to respond to demands at the graduate, professional level, and the variety and academic rigour of its programmes. The University must be agile in seizing this opportunity for focused capacity building in graduate and continuing education. The threat is that other institutions are also aware that there are opportunities in the graduate area that they might profitably fill, sometimes through alliances with institutions outside the region and using flexible modes of delivery. New institutions may also possess the advantage of more flexible input, administrative and delivery systems.

50. Our aim is to make the UWI an internationally recognized centre of excellence for graduate education, especially respected and sought after for:- (i) the delivery of first rate graduate programmes, (ii) its pre-eminence in Caribbean scholarship, and (iii) its output of higher degree graduates who are at the cutting edge of contemporary scholarship, professional development and expertise.

51. In order to achieve this aim, the University must move speedily to address some existing problems relating to poor student throughput and timely completion and high attrition rates especially in research degree programmes. These are attributable mainly to the following:-

- the need for improvements in the quality of academic supervision of graduate students
- difficulties in balancing work and study obligations experienced by students who are attempting to pursue both taught and research degrees on a part-time basis
- the lack of resources dedicated (staff, financing and physical facilities) to support the delivery of graduate programmes
- rewards and recognition for graduate student supervision.

The action plan for graduate studies will therefore seek to address those impediments.

52. The upgrading of graduate teaching and supervision will be vigorously pursued. The Instructional Development Units (IDUs) will be used to provide appropriate training for academic staff in mentorship and academic supervision. New faculty members and untenured staff who are beginning their careers as supervisors and instructors will be specially targeted. Incentives will be provided to reward research productivity and excellence, as well as outstanding academic supervisors.

53. Cross-campus collaboration will be encouraged to ensure that graduate programmes are developed and delivered making use of the best expertise within the University. Similar collaboration in the supervision/co-supervision of graduate students will be relied upon to increase research and experiential education options for students and promote excellence.

54. The structural shift away from being primarily an undergraduate teaching institution will also require the strengthening of quality assurance mechanisms to ensure that graduate programmes satisfy internationally accepted standards of quality control. The plan is to introduce a university-wide quality assurance system to take account of all facets of the graduate education experience, the institutional arrangements, the research environments, the selection, admission and induction of students, the mentoring and supervision of students, the continuous assessment and review of graduate programmes, the development of research and other skills, and feedback mechanisms for students.

55. Particularly at the level of the research degree programmes, the search for excellence will require UWI to be able to compete for talented and highly-motivated graduate students within the region and internationally. Current arrangements put the University at a disadvantage in doing so effectively. There is a need to find a more encouraging, realistic fee structure for research programmes and facilitate greater access of students to postgraduate scholarships and assistantships that would encourage them to register on a full-time basis. In short, we need to widen opportunities for our research degrees from students from the Caribbean and beyond.

56. There is good potential for increasing income to the UWI through graduate programmes that are delivered at the taught level. There is also some scope for the allocation of part of the surpluses from the delivery of income generating taught graduate programmes to help Departments and Faculties build library acquisitions, IT infrastructure and assist in programme development for e-delivery. The reconstruction of many of our graduate programmes to facilitate

distance delivery and hence extend the reach of the UWI to postgraduate students beyond its normal campus boundaries is an imperative for action.

57. As part of the strategy for expanding and strengthening graduate studies UWI will take steps to enhance the support system for postgraduate students. Of necessity, this system will be comprehensive. It will range from effective mentoring and graduate student supervision arrangements for research students to facilities such as graduate-student housing, teaching spaces, conference facilities, student lounges and information technology. With an increasing graduate student population on each campus the University may also have to provide office space and facilities for postgraduate students' associations and to support their own funding arrangements.

58. All of this should help to create conditions that are conducive to high academic performance at the graduate level.

■ STRATEGIC AIM 2

To make the University of the West Indies an internationally recognized centre of excellence for graduate education, especially respected and sought after for:- (i) the delivery of first rate graduate programmes, (ii) its pre-eminence in Caribbean scholarship, and (iii) its output of higher degree graduates who are at the cutting edge of contemporary scholarship, clinical skills, professional development and expertise.

Strategies

1. Build a reputation of excellence in the University's higher degree programmes.
2. Build capacity for excellence in higher degree programmes.
 - Employ differential teaching loads to deploy dedicated teaching resources for taught graduate programmes of high quality
 - Strengthen administrative support for the delivery of graduate programmes
 - Enhance institutional support and improve access to library and information resources and quality laboratories
3. Strengthen and improve the standard of academic supervision and improve the throughput rate particularly of research students.
4. Widen access and financial support for postgraduate research degree for students from UWI contributing countries, the wider Caribbean and beyond.

5. Strengthen Quality Assurance and enhance academic standards.
6. Build national, regional and international partnerships to support research training and supervision.
7. Improve the flexibility of our postgraduate programme delivery and significantly expand the number of postgraduate programmes delivered by distance or blended education.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Enhanced contribution by the UWI to human resource development and competitiveness in the region by making graduate education more widely available
- Growth of innovative, multidisciplinary programmes in response to regional needs and convergence of disciplines
- Building critical national and regional capacity for research and innovation as well as, development of sustainable research clusters of relevance
- Improvements in students' performance and progression
- Enhancement effect of the strengthening of graduate education and research on the quality of undergraduate programmes
- Assisting TLIs in the region to build needed undergraduate teaching capacity
- Higher levels of graduate student satisfaction with their education experience at UWI
- Widening the reach and impact of the UWI in postgraduate programming
- Building a reputation for excellence at the graduate level

Further details are set out in an Action Plan located at pages 77-80 of this document.

RESEARCH AND INNOVATION

60. The creation of new knowledge is an essential characteristic of any good university. This role must be expanded and accentuated at UWI as we go forward.

61. In the 21st century global economy, knowledge generation and use, innovation, invention and technology transfer to business and industry are the key drivers of competitiveness and economic growth and development.

62. If the University of the West Indies is to continue to be a major contributor to the economic, social and cultural development of West Indian society, we must effect the transformations necessary to become a more research-driven institution. To falter in this is to fail the region, for UWI has the best collection of intellectual and physical infrastructure in the region for research. It is best positioned to drive the process of advanced knowledge creation and acquisition relevant to our region and to effect the transfer of that knowledge to society. Research and scholarship at UWI must also serve to provide a continuous flow of critical thought, scientific data and insights that can help policy makers to make informed decisions on a wide range of matters with a bearing on national and regional development and the quality of life of our populations.

63. It is also true that the quality and impact of its research is what will bring UWI the international recognition that it needs to increase its effectiveness in accessing funds in today's fiercely competitive international environment. It is research that will contribute to the rejuvenation of our teaching programmes and enhance their quality and relevance, and that will enable us to continue to set and lead the standards of scholarship in our region.

64. The issue of access to resources is critical given the continued absence in the region of the kind of structured channelling of large amounts of research funding to the university system by governments and the private sector on a competitive grant basis that has long evolved in the industrialized countries and that is rapidly being emulated in the emerging economies.

65. Realisation of these aspirations is central to the competitiveness of UWI, but the value of research to UWI's competitiveness goes beyond this. Research provides us with a comparative advantage over external universities, simply by having an infrastructural base here and by the opportunity that this creates for awareness of regional needs. Finally, it is through emphasis on research and graduate education that we can best articulate with our national TLIs seeking University status and provide them with assistance through quality assurance and curriculum relevance, since their initial emphasis will be on undergraduate training.

66. Appreciating the imperative for UWI to become more research-driven is one step; making it happen is a greater challenge. Fostering the desired research culture will require attention to three basic things: (a) growth in the number of academic staff who are research

active, (b) the availability of protected time to do research linked to expectations of research performance and, (c) access to an enabling research infrastructure.

67. Another important implementation component is the formation of partnerships and coalitions, both extra-and intra-UWI. Within UWI, this means identifying and implementing the mechanisms necessary for better cross-campus collaboration in research. Enhanced cross-campus collaboration avoids the inefficiency of effort duplication, increases the probability that our researchers work in groups with the critical mass necessary to be competitive and effective, and ultimately ensures that we face our competitive challenges as one strong regional entity.

68. Most important of all in the context of implementation is the realisation that trade-offs in time and energy are real, and that we cannot do all things and do them all well. An appreciation of the existence of trade-offs, of the consequent need for prioritisation of effort and resources, and of the likely need for intra-institutional re-arrangements for more effective delivery of the prioritized services, must therefore be an integral part of any strategy to develop research capacity and output at UWI. We must pay close attention to the quality implications of the potential trade-off between undergraduate and graduate growth; and within graduate programmes, to the trade-off between growth in taught graduate programmes and growth in research.

69. Within research, the implication is that we must be selective and prioritize the selected areas for particular effort and focus. Important criteria for selecting focal areas for research include: areas in which UWI has strong technical capacity and international credibility; areas of particular relevance to regional development, and; niche areas where the region's geography and history give us competitive advantage. Using these criteria, a number of key areas emerge that demand enhanced knowledge acquisition, innovation and intervention. These include but are not limited to:

- **Biotechnology**, particularly with respect to **Health, Agriculture and Horticulture**;
- **Food and Agricultural Production, Food Security and Food Safety**;
- The legal protection and use of **Biodiversity, Natural Products** and unique **Genetic Resources**;
- **Education**, with particular emphasis on innovative approaches to **Teacher Training and Development**;

- The study of **Culture** and the development of **Cultural and Entertainment Industries** for tourism promotion;
- **Social, Economic and Legal studies**, particularly as they relate to crime, security and justice, leadership, migration, diaspora, gender studies, and the CSME;
- **Health and Wellness**, particularly as they relate to diseases impacting on Caribbean communities and the tourism product;
- **Natural Hazards Management and Disaster Risk Reduction; Natural Resource and Environmental use and management**, particularly as they relate to Forestry, Fisheries, Environmental/Ecosystem Health, Water Resources, Sustainable Tourism, Ecotourism development and Community Wellness; and
- The feasibility, development and implementation of **Alternate Energy Strategies**.

70. Countries that have invested in research and human development and which have been able to harness and actively support the mix of knowledge acquisition, technical expertise, and entrepreneurial acumen have developed competitive economies through innovation and trade in new products, processes, services and human capital. The UWI as the principal institution for knowledge creation and high level human capital formation in the West Indies and in partnership with government, business and industry, must therefore be a central player in driving Caribbean innovation. Many universities today are not only concerned with the creation of knowledge but also on how this can be transmitted and shared, particularly for commercial gain. At the UWI, this goal should be equally important, particularly for national and regional development. In this strategic plan period, the UWI would therefore strive to actively support and wherever determined, facilitate the movement of the research of our staff and students to innovative outputs. This will be achieved through supportive policies on contract research, Intellectual Property Rights (IPR), licensing agreements for the commercialization of research and the creation of “spin-off” companies. Building partnerships with government, business, industry and new entrepreneurs (both within and outside of the University) will be actively pursued to support research, development and innovation.

71. The Action Plan articulated for Research and Innovation has been developed in the context of the issues identified and discussed above.

■ **STRATEGIC AIM 3**

To become internationally recognized as a Centre of Excellence in Research, Knowledge Creation and Innovation on matters related to the Caribbean and small-island developing states.

The main strategies that will be employed to achieve the stated aim are:-

1. Build research capacity and create an enabling environment for research and innovation at UWI.
2. Strengthen and expand research activity of international quality that is relevant to regional and national needs.
 - Develop Faculty and Departmental Research Agendas around research clusters to address priority areas aligned to specific developmental needs
 - Make fuller use of international collaboration including movement of faculty and graduate students to increase research output and quality
3. Develop and sustain an innovation and enterprise culture through supportive policies on contract research, Intellectual Property Rights (IPR), licensing agreements and the creation of mechanisms for commercialization of research outputs.
4. Strengthening our capacity to access competitive research grants from international sources.
5. Attempt to convert more of our research successes into secured intellectual property rights.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Increases in research publications and citations
- Growth of UWI Press and UWI journals which are critical to the dissemination of research findings
- More graduate students attracted to M.Phil/Ph.D research with better throughput
- Enhanced impact of UWI's research and innovative outputs on policy, Caribbean economies and society

- International recognition and respect with implications for attracting/collaborating with outstanding researchers and access to research funding
- Greater competitiveness in recruiting high quality students from within the region and internationally
- New revenue streams from intellectual property (e.g. patents and copyrights) and the commercialization of research outputs
- Stronger support from our regional stakeholders for R&D funding, innovation and entrepreneurship
- Growth in business alliances for the purpose of innovation
- Enhancing UWI's prestige as an expert centre for things Caribbean

Further details are set out in an Action Plan located at pages 83-85 of this document.

SERVICE TO UWI-12 COUNTRIES AND OTHER UNDERSERVED COMMUNITIES

73. The University has long relied on a three-pronged mechanism – the UWI Distance Education Centre (UWIDEC), the Tertiary Level Institution Unit (TLIU) and the School of Continuing Studies (SCS) – to spearhead the delivery of its outreach services.

74. Interface with stakeholders in the twelve contributing countries without campuses (UWI-12) has underscored the need for major re-conceptualization of the outreach sector. Enrolment of students from these countries has been modest and growth in new student intake has persistently lagged behind increases in the campus countries. In addition, access to the research and development capacity of UWI has been quite limited.

75. During 2005 and 2006, the Tertiary Level Institutions Unit (TLIU) conducted an extensive Human Resource Needs Assessment Survey which tried to identify priorities for tertiary education in contributing countries. The University also undertook a major series of consultations in all UWI 12 countries to learn about the developmental needs and plans of each of the countries and to determine how the UWI might best serve them.

76. The data from these and related sources made clear the degree to which there are unfulfilled needs in countries without campuses. The data also revealed a strong unsatisfied

demand for quality higher education services delivered flexibly even in those countries that hosted a campus. Given the scope and urgency of the need to build human capacity, the outreach sector will be transformed into an open campus.

The Open Campus Concept

77. The UWI Open Campus will have a physical presence in each contributing country. It will function as a network of real and virtual nodes to deliver education and training to anyone with access to Internet facilities. The physical presence in each contributing country will be enhanced to permit the offer of those services that are more appropriately provided face-to-face. It will also permit the blending of online and face-to-face learning experiences to enrich the social aspects of learning in a collegial environment.

78. The Open Campus will build on the work of the TLIU to facilitate the interaction of the University with other universities, colleges, educational institutions and scholars and permit work towards a seamlessly linked education system for development in the Caribbean region. It will carry on the work that has characterised the School of Continuing Studies in responding to local needs and in fostering social and cultural development.

79. The Open Campus will be headed by a Principal at the level of Pro-Vice Chancellor and governed by a Campus Council in keeping with the statutes and ordinances of the UWI, adjusted to accommodate its virtual character. The Campus will draw its intellectual sustenance from the entire academic array of the existing campuses. Its organisation will be driven by the functions required for the effective delivery of its programmes of teaching, research and consultancy. Students of the Open Campus will enjoy the same quality of instruction and receive the same qualifications as students in other parts of the University. Differences in rules governing their studies will be related only to the differences in the mode of teaching and the requirements of their scholarly experience.

80. The programmes of the Open Campus and its academic operations will be governed by an Academic Board, subject to the overarching authority of the Boards for Undergraduate Studies and for Graduate Studies and Research. A new Finance & General Purposes Committee will fulfill the mandate of Council in the affairs of the campus. Accordingly, separate administrative

and financial bodies will manage the affairs of the campus, subject to the established reference points of the financial code and the body of UWI administrative practice.

81. The creation of the campus will be the object of special solicitations of financial investment. The operation of the campus will be designed for the recovery of costs and the generation of surpluses within a calculated period. The staff of the Open Campus will be dispersed across the contributing countries with administrative headquarters eventually located in one of the UWI 12 countries, selected on the basis of criteria that would assure its effective and economical operation.

Components of the Open Campus

82. The Open Campus will be organized and staffed by reference to the functions that empower it to deliver the University's programmes. It will:

1. identify the programmes and courses required by its target clientele,
2. examine the array of offerings of the UWI to determine where the components for the required programmes and courses are located,
3. cooperate with faculties, departments and other units, or, if necessary, contract with individual members of staff to develop and deliver programmes,
4. partner them with curriculum specialists skilled in on-line and blended learning delivery, and
5. create and deliver the appropriate new courses and programmes.

83. Within recent years, many departments and faculties of the university have created online instructional materials. This means that the process outlined above will start with the advantage of the Open Campus being able to negotiate collaboration within the University to achieve a faster start up and wider scope than might have been possible otherwise. Additionally, it is envisaged that the other Campuses will benefit from the enhanced capabilities of the Open Campus.

84. When the intellectual resources for any course or programme cannot be obtained within the UWI, the Open Campus will solicit them elsewhere using similar contractual partnerships. Given that method of operation, the staffing of the Open Campus will not replicate the Faculty

structure of the other campuses but rather provide for curriculum development in several different disciplines, materials design, design of web-environments for effective instruction and the management of the staff, e-tutors, students and other clients.

Services to be provided

85. The deliverables of the Open Campus will include:

- capacity building interventions for other institutions
- short courses at pre-university, undergraduate and graduate levels
- undergraduate degrees, postgraduate degrees, continuing education, professional development
- issue driven programmes and courses, problem driven research collaboration, cultural development programmes
- harmonization and coordination of existing responses to needs in the target populations

86. In the short term, the Campus will develop programmes to meet short notice needs of governments and other stakeholders and offer the following categories of programmes.

- university programmes already on offer through the UWIDEC at least until students in the system complete them (including blended learning courses)
- programmes and courses currently offered by the SCS
- new programmes appropriate for the training of public servants
- programmes for qualifications in the teaching of English and Mathematics, and
- computer literacy.

87. The creation of a seamless flow of movement through community colleges and national colleges and universities has been an oft-repeated goal for the development of the tertiary sector. The Open Campus will take the lead in the management of these relationships and promote a uniform operational interaction with other institutions. It will actively pursue the goal of seamless articulation within the sector and collaborate with other institutions in building appropriate programmes.

88. The campus will promote a collaboratively developed research agenda pertinent to the relevant communities, research in UWI 12 countries, monitoring and consultations, in country conferences and graduate studies.

Finance

89. It is proposed that resources traditionally allocated for the outreach sector through the Office of the Board for Non-Campus Countries and Distance Education, the School of Continuing Studies, the Tertiary Level Institutions Unit and the UWI Distance Education Centre, will be reallocated to assist in the commencement of the Open Campus operations. However, additional resources will be required for its full and effective implementation.

■ STRATEGIC AIM 4

To create an Open Campus to enable the University to expand the scope, enhance the appeal and improve the efficiency of its service to the individuals, communities and countries which it serves.

Strategies

1. Build required structures and institutional capacity for an open and flexible learning environment.
 - Implement appropriate arrangements for governance and the development of organizational and administrative structures
 - Develop ICT and other infrastructural support for the delivery of programmes
2. Enhance service to communities.
 - Commission new programmes to respond to priority national and regional needs.
 - Expand opportunities for multimode delivery of a suite of continuing education and professional needs.
3. Establish a viable and sustainable financing mechanism for the UWI Open Campus.
4. Create a Student-centred environment to foster a high level of student satisfaction.
 - Efficiency in student administration
 - Flexible education choices
 - Adequate access to library and information sources

- Effective delivery systems

Anticipated impact

The Open Campus initiatives should result in

- Greatly increased opportunities for access to higher education, including postgraduate programmes, in the UWI-12 countries
- Increases in enrolment of students from the UWI-12 countries facilitated by the Open Campus arrangements
- Easier access to higher education for persons from other underserved communities
- More flexible and convenient access for persons from all contributing countries wishing to pursue continuing professional education
- Improved retention and completion rates for students enrolled in the UWI-12 countries
- Higher satisfaction levels among students
- Raising of the education and skill levels in the UWI-12 countries
- Increases in the number of projects and the scope of research activity in UWI-12 countries, with implications for public policy enhancements impact.

Further details are set out in an Action Plan located at pages 83-85 of this document.

MAJOR ENABLERS**TRANSFORMING THE LEADERSHIP & ADMINISTRATIVE CULTURE AND PROCESSES**

91. The current administrative culture and processes are not conducive to the excellence that we seek to achieve in the core areas of strategic focus set out in this plan. This is so despite changes introduced over the past ten years, including the adoption of modern computerized systems and various initiatives to make the University more student-centred. Transformation of the leadership and administrative culture and processes will therefore be a strategic priority of the University. The goal is to create a productive and enabling environment that effectively serves the people who come into contact with it – students, staff, and members of the public. The guiding principles are that administration must serve the academic mission of the University and that the service culture we seek to create must exceed the expectations of all whom we serve.

92. Full use must be made of the potential of our Enterprise Systems to boost efficiency and effectiveness in all functional areas. Operational audits will need to be conducted to identify gaps or underutilization of functionality of these systems. Where necessary core processes will be re-engineered, jobs redefined and work processes modified to facilitate optimization. Special attention will be given to ensuring that the operational links between the Registry and Bursary form a mutually supportive system aligned to the University's strategic priorities.

93. The concept of a University Way with respect to excellence in work performance and people relations will be promoted and encouraged. This will help to influence the culture change process by holding up an ideal to internal stakeholders and a brand promise to external stakeholders in terms of responsiveness, customer-oriented service, efficiency and effectiveness.

94. A key strategy will be the establishment of a University-wide **service quality assurance** mechanism. By adopting benchmarks for service excellence over a broad range of activities and establishing effective monitoring and review mechanisms, it is expected that the quality of outputs will be raised over time. Training, Quality Manuals and similar capacity building measures will provide related support for this strategy.

95. Another important component of the overall transformation effort will be strengthening the framework of accountability for all staff. Active performance management will be based on clear criteria. In the academic sphere this will entail some differentiation of workload norms in relation to the mix of responsibilities: teaching, research, etc. In principle, the system of rewards, incentives and disincentives will be used to encourage alignment of staff effort and performance with desired outcomes. This may entail some rethinking of compensation arrangements.

96. Building and renewing institutional capacity on a sustainable basis is critical to the University in what is a highly competitive environment. Yet succession planning is in need of strengthening. In order to overcome this weakness, the University Registrar will spearhead the development and implementation of an effective system for succession planning. This initiative will be complemented by continuing investment in staff training and development linked to needed competences and enlightened approach to recruitment.

97. Strengthening the structure and processes for University-wide planning is the last but by no means least important element of the cluster of seven strategies that will be used to achieve the desired transformation in UWI's leadership and administrative culture and processes. Through the interface with Theme Leaders and decision-making bodies across the system, the PVC for Planning and Development and the Planning and development Unit will help to keep the efforts and resources of the University firmly focused on the strategic imperatives. The establishment of an integrated framework for the preparation, review and implementation of departmental Action Plans linked to the budgeting and resource allocation processes will further that alignment. The development of mechanisms for measuring, monitoring and assessing implementation outcomes in relation to internal and external benchmarks will also increase implementation success.

98. In addition, improvements in the programming of capital development and a more coordinated approach to related resource mobilization initiatives will give greater assurance that capacity will keep pace with growth in enrolment.

■ STRATEGIC AIM 5

a. To develop and establish a people-centred, culture-change process.

- b. To institute a Quality Assurance Mechanism to set people-centred standards for the functioning of the university and the service output.*
- c. To reform the administrative structures and systems towards implementing and supporting the vision and aspirations of UWI for the planning period.*
- d. To strengthen the structure and processes for University-wide planning.*

Strategies

1. Utilize fully the potential capabilities of the Enterprise system
 - Conduct operational audits in PeopleSoft HR, Banner Financial and Banner Students enterprise systems
 - Redefine job and work processes
 - Conduct process mapping of all core processes and re-engineer as required
2. Reform the administrative structures to support achievement of the vision and aspirations of UWI for the planning period
3. Strengthen the interface between Campus-based governance structures with the University's central governance entities
4. Develop an ideology to be embraced as the University's way on work performance and productivity
5. Develop a Service Quality Assurance mechanism to establish people-centred standards for the functioning of the University and the service output
6. Create a framework to strengthen accountability of staff
7. Build and renew institutional capacity on a sustainable basis
 - Provide staff training and development opportunities to assist in building needed capacity
 - Develop and implement an effective system for succession planning
8. Strengthen the structure and processes for University-wide planning

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Utilization of the full potential of the enterprise systems
- Significant changes in the way UWI does business with beneficial impact on efficiency, effectiveness and stakeholder satisfaction
- Achievement of high standards of service in keeping with the benchmarks established
- Increases in student, staff and public satisfaction
- Greater willingness by alumni to support the University
- Increased ability to attract and keep qualified staff
- Increased ability to attract the brightest and the best students
- Reduction in the occurrence of student protest and industrial action
- Improvement in productivity
- Propagation of best practices across the university system

Further details are set out in an Action Plan located at pages 86-88 of this document.

MARKETING AND BRANDING

100. The achievement of the primary goals that we have set for the University must be supported by a comprehensive and well-integrated Marketing and Communications Strategy. That strategy must be effective in building UWI's reputation for excellence in education and research. It must also ensure that there is awareness among stakeholders of the contributions being made by the University to economic and social development. This is essential given the growing intensity of competition from national and private higher education institutions in the region.

101. A fragmented and somewhat less than coherent approach to Marketing must give way to integrated Marketing and Communications strategy which will successfully promote the UWI brand in a manner consistent with our core values. This strategy will provide effective support for such functions as student recruitment, student services and community outreach. It will also be a mechanism for improving relations with and increasing satisfaction among all stakeholders. In that regard, there is also a need to enhance internal communications strategy.

102. A University wide Marketing and Communications Strategy is to be developed. It will focus on establishing the brand promise of UWI, regionally and internationally, as the premier higher education institution in the region. Elements of best practice exist in different parts of the

University and these will be incorporated in the development of the Marketing and Communications Strategy.

103. A Marketing and Communication Plan is to be prepared aligned to and fully supportive of the University's strategic priorities. The Plan will provide, inter alia, for the following actions:

- a) development of an information strategy to promote UWI in the mass media as being first in education, research and the provision of expert services;
- b) formulation of a Web-based strategy to project UWI effectively in terms of the breadth and richness of its different dimensions;
- c) development of an internal communication strategy to enhance awareness of the strategic priorities of the University and to foster support for plan implementation and
- d) projection of UWI locally, regionally and internationally in relation to its achievements and existing capacities and its development goals.

104. Successful marketing and branding will require significant changes in the existing structure of the offices of marketing and communications as they exist across the University. The structure will be reviewed and revised where appropriate to ensure that the function is appropriately resourced. Steps will also be taken to develop policy documents to guide the marketing and communications function.

105. Market research will be conducted at regular intervals to assess perceptions among selected/targeted groups of stakeholders in relation to: (i) UWI's responsiveness to developmental needs, (ii) its capacity and expertise, (iii) quality, scope and relevance of academic programmes, and (iv) its standing as the institution of first choice. In order to assess the competencies of the current brand of the institution an audit must be conducted to arrive at an evaluation of the expectations of both the internal and external stakeholders. This feedback information will be used to assist in the development of effective communication and marketing strategies to improve awareness among the target groups. It will also serve as a guide to stakeholder expectations about service quality levels as well as emerging market needs.

106. A special need is to raise the visibility and research profile of the University and promote its expert capacity in the UWI-12. A series of specific initiatives will be required to create heightened visibility of the institution in UWI-12 countries. It will also be necessary to develop more effective strategies to attract and recruit students from the UWI-12 countries and other underserved communities. This will involve the strengthening and coordination of recruitment initiatives, including school visits and targeted promotions.

107. Another significant initiative will be to promote the valuable stock of West Indiana resources, collections and UWI publications and journals to enhance UWI's standing and visibility internationally. The University also has a heritage of scholarship, research and innovation that can be marketed internationally, for example to other universities that offer Caribbean Studies programmes.

■ **STRATEGIC AIM 6**

- a. To develop and implement a University wide Marketing and Communications Strategy that is focused on establishing the brand promise of UWI regionally and internationally, as the premier higher education institution in the region.*
- b. To enhance UWI's standing as an internationally recognized centre of excellence for Caribbean Studies broadly defined to include history, culture, literature, economic, social and political dimensions and the natural environment.*

Strategies

1. Develop a University wide Marketing and Communications Strategy that is focused on establishing the brand promise of UWI, regionally and internationally, as the premier higher education institution in the region.
 - Develop a Marketing & Communications Plan aligned to and fully supportive of UWI's strategic priorities
 - Develop an information strategy to promote UWI in the mass media.
 - Formulate a web-based strategy to project UWI effectively in terms of its different dimensions

- Develop an internal communications strategy to enhance awareness of the strategic priorities of the University
 - Support and project UWI locally and regionally in relation to its achievements and capacities and developmental goals
2. Build capacity for effective Marketing and Communications.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Increased visibility, as well as regional and international recognition for the UWI and its contribution to human capacity building, scholarship and social and economic development in the region
- Enhanced ability to compete for talented students, faculty and funding
- Increased opportunities to pursue strategic partnerships with other institutions world wide
- Increased media coverage and visibility in the UWI-12 countries and heightened interest among students there to choose to study at this University
- Opportunities to market Caribbean Studies programmes and access to West Indiana resources to a global market
- Positive changes in perception towards UWI resulting in greater collaboration and university funding from key stakeholders
- Enhancement of brand and reputation building in the market place through better dissemination of the University's contributions to knowledge creation and education
- Timely feedback from national/regional communities in response to image projection and brand promise – measured by surveys

Further details are set out in an Action Plan located at pages 89-90 of this document.

FUNDING THE ENTERPRISE

109. The mobilization of adequate funding to pursue the developmental path set out in this plan is essential to the successful transformation and positioning of UWI to continue to

contribute to national and regional growth, development and competitiveness in a 21st century context.

110. The main thrust of the financing plan is the broadening of the funding base and the mobilization of resources for the following priorities: (a) implementation of the Open Campus initiative, (b) building institutional capacity (including the enabling infrastructure) for high-quality research and innovation in targeted areas, (c) facilitating the timely renewal and expansion of infrastructure, plant and facilities needed to develop and maintain an environment conducive to quality teaching and learning at the undergraduate level and the planned growth and enhancement of graduate studies, and (d) further development and integration of key management systems.

111. The mix of strategies designed to broaden the funding base includes:-

- further growth in earned income facilitated by restructuring and strengthening of the Business Development Offices (BDOs)
- formation of a University Consultancy Company
- planned alumni giving and establishment of a UWI Endowment Fund
- cost recovery through tuition fees, accompanied by appropriately designed student financing support schemes
- leveraging of real property and other assets to facilitate access to private sector funding sources
- development finance from regional and international agencies

112. The UWI will continue to rely on contributing governments for a significant proportion of its funding despite best efforts. The financing plan seeks to put that core financing on a more predictable and timely basis.

■ STRATEGIC AIM 7

- a. To alter the modalities of funding from contributing governments to provide for greater predictability over the plan period, while allowing for flexibility of the University to respond to agreed changes.*

- b. To ensure that the University has access to adequate funding to allow it to make regular investments in the renewal and expansion of capital infrastructure, plant and facilities needed to sustain high-quality teaching and learning, and research at the cutting edge.*
- c. To establish mechanisms for funding the planned expansion and enhancement of research activity of the University on a sustainable basis.*
- d. To broaden the funding base and reduce overdependence on any one source.*
- e. To create conditions that will allow the University to maintain a consistent policy of equitable burden-sharing through tuition fees, independent of the policy stance of contributing governments.*
- f. To secure adequate funding for the successful implementation of the Open Campus initiative.*
- g. To minimize the need for new resources by achieving productivity enhancement and efficiency gains through optimization of the use of existing resources and active management of costs.*

Strategies

1. Seek firm assurances from contributing governments to honour consistently, and in a timely manner, their agreed financial commitments to the University.
2. Obtain the agreement of contributing governments to allocate funding to the University on the basis of a (rolling) multiyear period.
3. Utilize, where acceptable, the mechanism of payment of contributions through the issue of serial bonds.
4. Make and adhere to a reciprocal commitment to provide contributing governments with greater opportunities for dialogue on the utilization of funding and the associated outcomes.
5. Draw on the work of the Capital Development Task Force re the financing of capital requirements to finalize a list of capital projects and to mount a strategy for financing.

6. Reach agreement with governments on selected elements of the University's medium term capital requirements for which direct public funding will be assured.
7. Explore the scope for meeting a proportion of the capital requirements by utilizing the instrument of Development Bonds that are to be repaid from university income, with special efforts targeted at philanthropic contributions from the private sector.
8. Develop a mechanism and associated procedures for early identification, assessment and response to the emerging capital needs of the Campuses and the Centre in a dynamic environment.
9. Lobby and obtain the agreement of governments for the establishment of a Caribbean Research and Competitiveness Funding Agency and allocation of necessary funding in a phased manner.
10. Allocate funds in the recurrent budget to strengthen the research base and facilitate development of proposal writing skills among academics to allow them to compete more successfully for research grants from international funding agencies.
11. Allocate funds in the recurrent budget to support pure or fundamental research activity that will allow more UWI researchers to make significant contributions to knowledge creation in their fields and to enhance the quality of graduate education.
12. Improve the alignment of applied research with stakeholder needs and mobilize direct financial support from private sector and governmental agencies.
13. Exploit opportunities for growing earned income through engagement in commercial activities and investments.
14. Leverage real property and other assets to mobilize access to private sector funding sources.
15. Establish a University Consultancy Company.
16. Restructure and strengthen the existing Business Development Offices after redefining their roles.
17. Develop funding from philanthropic sources through (i) a comprehensive Planned Giving strategy, (ii) establishment of units devoted exclusively to fund raising, and (iii) provision of dedicated funding mechanisms for alumni development.
18. Lobby for the harmonization of government policy across the region to create a policy framework for fiscal incentives attached to gifts to the University.
19. Establish a Regional UWI Endowment Fund and pursue relationship building and structured fund-raising activities in order to tap into all potential sources of contributions effectively.

20. Advocate student financing support schemes with the following features:- (i) well-structured contingent loan arrangements, (ii) loan-approval by programme rather than year to year decisions, (iii) flexible loan guarantees, (iv) extension of coverage to include elements of the living expenses of students.
21. Encourage governments to enhance the capacity of the Loan Agencies.
22. Assist as far as possible in facilitating the sourcing of funds for student loan schemes from government and international organizations.
23. Establish a viable and sustainable financing mechanism for the UWI Open Campus.
24. Obtain firm commitments for capital grants from the UWI-12 governments for the capital needs of the Open Campus in their respective countries.
25. Identify the scope for further rationalization of academic programmes and institutional arrangements. Implement desirable changes expeditiously.
26. Continue to make improvements in procurement management and the oversight of providers of outsourced services.
27. Utilize the potential of advances in telecommunications technology to reduce travelling costs.
28. Improve facilities management.
29. Provide support through matching grants to Departments for research work that is closely related to UWI's mission
30. To link financial support for departments to progress in building capacity and efficiency in resource use.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Greater predictability in the flow of funding from contributing governments allowing the University to plan and programme its development more efficiently
- Elimination of arrears signaling a high level of commitment of stakeholder governments to the university
- Enhanced ability of the University to make regular investments in the renewal and expansion of capital infrastructure, plant and facilities
- Funding to permit the University to expand and enhance research and innovation as part of the required structural shift

- Broadening of the funding base and reduction in overdependence on any one source
- Maintenance of a consistent policy of equitable burden-sharing through tuition fees
- Mobilisation of adequate funding for successful implementation of the Open Campus initiative
- Increase efficiency in the use of resources

Further details are set out in an Action Plan located at pages 91-95 of this document.

OTHER STRATEGIC AREAS OF IMPORTANCE**STRENGTHENING REGIONALITY**

114. One distinct advantage enjoyed by the UWI is its status as a unique regional institution of higher education supported by fifteen contributing countries. It is the only higher education institution with a presence throughout the region and it has the largest concentration of intellectual capital in the region.

115. However, over time several undercurrents have been contributing to the perception that the regionality of the University has been diminishing. Among these factors are the following:

- the large and increasing share of enrolment accounted for by nationals from the host country of the campuses (currently in the range of 86% to 93%)
- the consequential responsibility of the host country for a correspondingly large proportion of the funding of the campus
- the effect of devolution of much decision-making to individual campuses ushered in by changes in governance arrangements
- the persistence of imbalances in access to the broad range of services of the UWI particularly among the UWI-12 countries without campuses
- operating modalities that project an image of fragmentation – ‘three universities sharing the UWI brand name, each influenced by national agendas.’

116. The regionality of the UWI enterprise is a valuable asset to the people of the Caribbean and we intend to maintain and strengthen it.

117. In order to advance this objective renewed emphasis will be placed on achieving a better integration of the University. This will involve promotion and propagation of best practices across the institution. Harmonizing and integration of information and communication systems and other key management systems will be a priority.

118. Collaboration will be encouraged and intensified across the university in relation to curriculum development, joint-delivery of programmes, co-supervision of research students,

research work, staff visits, conferences and workshops. The ICT infrastructure will be further developed and deployed to support these efforts.

119. Expertise will be deliberately mobilized across the university system to enhance the ability of any campus to address specific national needs of high importance. At the same time, we will continue to develop mechanisms to bring university wide expertise and intellectual leadership to bear on shared regional problems and challenges of an economic, social, educational, health or environmental nature.

120. Efforts will be stepped up to facilitate increased movement of students and staff across the university through such approaches as exchange programmes, guest lecture series, structured study arrangements and streamlining processes for student transfers.

121. The need is also recognized for enhancement of the responsiveness and impact of the University on regional development through effective dissemination activity and expanded communication links and dialogue with external stakeholders. We intend to increase the frequency and improve the quality of ongoing consultation and interface with regional governments and the vehicle of the UWI Consulting Company will be deployed to monitor and address specific needs of the public and private sectors throughout the region. In that regard, government agencies and other clients will be encouraged to source expertise from the University on an institutional rather than individual basis.

122. The interface with regional organizations such as CARICOM, CDB, CXC, and the OECS will be strengthened to enhance regional engagement on regional problems and issues.

123. We plan to continue to develop links with both tertiary level institutions and the private sectors in order to build human capacity and foster development in the region. One important dimension of this partnership with regional TLIs to assist them in curriculum development, quality assurance and the building of teaching staff capacity. Another is the continuation of efforts to forge articulation agreements to facilitate the seamless advancement of students seeking to realize their educational goals.

124. There is a critical need for UWI to be seen as a single regional entity to both regional and extra-regional agencies/persons to benefit from gains such as funding from development agencies, vendors for library, computing systems, equipment, etc.

■ **STRATEGIC AIM 8**

- a. To enhance effectiveness through better internal integration of the institution.*
- b. To enhance responsiveness and the impact of the University on regional development through effective dissemination activity and expanded communication links and dialogue with external stakeholders.*
- c. To continue to develop links with both tertiary level institutions and the private sectors in order to build human capacity and foster development in the region.*

Strategies

1. Promote and facilitate the adoption of best practices across the institution.
2. Harmonize and strengthen the degree of integration of information and communication systems and other key management systems.
3. Encourage dialogue and collaboration across the university in relation to (a) curriculum development, (b) joint-delivery of programmes, (c) co-supervision of research students, (d) research work, staff visits, conferences and workshops.
4. Mobilise expertise from across the university system to enhance the ability of any campus to address specific national needs.
5. Continue to develop mechanisms to bring university wide expertise and intellectual leadership to bear on shared regional problems and challenges of an economic, social, educational, health or environmental nature.
6. Facilitate increased movement of students and staff across the university through exchange programmes, guest lecture series, structured study arrangements, student transfers, etc.
7. Make fuller use of existing channels and develop new modes of disseminating information on potentially beneficial output from UWI.

8. Increase the frequency and enhance the quality of ongoing consultation and interface with regional governments.
9. Use the newly established consulting company to monitor and address specific needs of the public and private sectors throughout the region.
10. Encourage government agencies to source expertise from the University on an institutional rather than individual basis.
11. Partner with private sector entities to develop and customize higher education programmes to address identified skill gaps.
12. Assist regional TLIs in curriculum development, quality assurance and the building of teaching staff capacity.
13. Continue to forge articulation agreements with regional TLIs to facilitate the seamless advancement of students seeking to realize their educational goals.
14. Expand and deepen links with TLIs.
15. Develop relations with the Private Sectors for a range of benefits.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Enhancement of the quality of teaching and academic programmes university wide
- Quality improvements in graduate supervision and higher degree completions
- Higher service quality and effectiveness in all major areas of administrative services
- Enhancement of the quality and speed of decision making
- Expanded education choices for students
- Expansion of collaborative research work and enhancement of research quality
- Positive impact on UWI's contribution to national development
- Greater responsiveness to both national and regional needs
- Transfer of knowledge from university research and innovation
- Deepening of the impact of knowledge transfer on public policy and programme design and the competitiveness of business and industry in the region through partnerships in research and innovation
- Higher levels of external stakeholder satisfaction
- Harmonization and strategic deployment of resources across faculties
- Evidence of pooling university resources to respond to national or regional needs and demand

- Increase in student and staff exchanges across the system
- Growth in research activity mounted in and of relevance to UWI-12 and other underserved communities

Further details are set out in an Action Plan located at pages 96-97 of this document.

■ **STRENGTHENING NATIONAL ENGAGEMENT PROCESSES**

126. An important challenge for the University is to be more visible in demonstrating its relevance and impact in relation to national needs and priorities.

127. Several related concerns have surfaced during the consultation process, among them are that:

- the UWI needs to have a more visible role in national life – one that extends beyond the relationship with host governments to include relations with the private sector, NGOs and communities
- the overwhelming and increasing dominance of students from the host country on each campus undermines the regional character of the University
- countries without campuses have been poorly served by the lack of research focused on their specific needs and environments and the tendency of course content to draw on host country cases and phenomena

128. The feedback is that UWI needs to analyse critically its role and function at the national level, with a view to publicizing its achievements and strengthening its engagement.

129. Two areas of priority focus already outlined – the UWI Open Campus and Strengthening Regionalism – will directly address the last two concerns identified above. In addition, we will seek to strengthen national engagement processes as a strategic priority.

130. In reality, there is much on-going contribution of the University and its staff to public policy making and administration, the provision of expert advice and even to corporate governance, yet this is not well publicized and the UWI derives little credit for those outputs. Steps will be taken, therefore, to increase public awareness through better communication

strategies. These actions will include dissemination mechanisms that would allow a broader national audience to become aware of the output of scholarship and research work at the University and its potential impact on specific communities and national populations.

131. Action will also be taken to identify and address systematically areas in which there are underserved educational and research needs in each contributing country. These needs will be prioritized in collaboration with the stakeholders and appropriate initiatives developed to assist in addressing the identified gaps.

132. Over the plan period, new research initiatives will be mounted to analyze selected economic, social and environmental issues with the aim of providing decision makers with a sound, objective basis for public policy or community responses.

133. In these ways, we expect UWI's impact on national policy making and well-being to be enhanced.

134. Our students will be encouraged and provided with opportunities to participate in structured community engagement. That element of service learning should serve to increase social awareness and foster ethical sensibilities, while contributing to social development.

■ **STRATEGIC AIM 9**

a. To increase the University's impact on national policy making, analysis and evaluation, and enhance support for UWI within the national communities.

b. To identify and address underserved educational and research needs in each contributing country.

c. Heighten awareness of UWI's contributions to national development and the dynamic relationship with regional character.

Strategies

1. Document and effectively publicize the contribution of the University and its staff to the policy formulation process in member countries. Highlight cases that link UWI to major national milestone achievements.
2. Improve dissemination mechanisms to allow a broader national audience to become aware of the output of scholarship and research work at the University and the actual or potential beneficial impact of that work on nation states and communities.
3. Recognize and support the contribution of UWI's staff to national engagement. Likewise, encourage and publicize outreach activities at different levels of the society.
4. Provide staff and students with opportunities for structured community engagement as an instrument of service learning and the fostering of ethical sensibilities.
5. Actively seek out opportunities for the University to be represented formally in all regional forums where its participation could assist in enhancing the quality of decision making.
6. Establish structures for identifying and analyzing UWI's outreach activities at different levels of the society on an on-going basis. Conduct periodic surveys to assist in addressing unmet needs.
7. Prioritize needs and develop initiatives to assist in addressing the identified gaps in partnership with national governments, private sector entities, and civil society organizations (e.g. NGOs and CBOs).
8. Mount research initiatives with appropriate support to analyze selected economic, social and environmental issues and provide decision makers with a sound basis for public policy or community responses.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Greater recognition by stakeholders of the value of the University's contributions to national and community development through research, expert advice and outreach activities, and a more favourable view of the UWI at all levels of society
- Improvement of national policy and programme design
- Improvement of the quality of life of targeted communities

- Higher levels of staff satisfaction and morale
- Enhanced access to resources from principal stakeholders
- A positive contribution to development of the ideal UWI graduate

Further details are set out in an Action Plan located at pages 98 of this document.

INTERNATIONAL PARTNERSHIPS

136. The importance to the UWI of international partnerships is that they have the potential to enable the University to advance several of its primary goals. While collaboration has always been a feature of the functioning of the University, it has typically been limited in scope and it has flourished best in terms of collaboration between individual academics or small groups of faculty who carry out research in some area. The University must now elevate international partnerships to a mechanism to be used strategically and more widely to support specific institutional objectives.

137. For example, as the UWI seeks to build capacity and international recognition in selected areas of research and innovation, we will need to seek out strategic partners within the global university network and among private enterprises who have complementary expertise and experience and perhaps cutting-edge facilities in those areas. Such arrangements can facilitate knowledge transfer, innovation and access to research funding.

138. Through co-supervision and joint delivery of programmes, international partnerships with other universities will also be developed to expand academic offerings at the advanced degree level and accelerate research training in targeted areas.

139. We have already begun to provide opportunities for enrichment of the educational experience of our students through options to spend some time in other institutions. Skillfully managed collaboration can increase the number of students who are afforded these opportunities. Likewise, it is envisaged that staff exchanges will be increased through international partnerships, thereby helping to build capacity within academic departments.

140. Partnerships with other universities around the globe will also allow the UWI to become better known and to attract more international students to its programmes.

141. Additionally, successful international partnerships will help to build the University's international standing as a respected and competent partner. Such esteem is one consideration that influences the global ranking of universities.

■ **STRATEGIC AIM 10**

To strengthen and expand inter-institutional relationships to support regional development priorities through resource acquisition, capacity-building and enhancement of the knowledge-infrastructure..

Strategies

1. Generally utilize more collaborative strategies in relation to the following objectives:
 - Knowledge creation
 - Knowledge transfer
 - Capacity building
 - Access to sources of finance
 - Enrichment of educational experience for UWI students
 - Leadership of the tertiary sector in the region
 - Marketing the potential of UWI as an international partner.
2. Build new alliances and deepen existing ones with strategic partners to advance UWI's goals and increase the number of customized working relationships designed strategically to deliver specific benefits.
3. Intensify collaborative research work with faculty from other universities in focal areas.
4. Expand staff and student exchanges.
5. Increase education enrichment opportunities for UWI students.
6. Make greater use of visiting fellowships and professorships.
7. Strengthen and expand academic programme offerings at the graduate level in priority areas through partnerships.
8. Enhance the capacity for academic supervision linked to co-supervision arrangements with faculty from other universities.
9. Expand collaboration with international partners efficiently with the support of the International Offices.

10. Develop short seminars focused on best practice involving international partners.
11. Link websites of international partners for mutual support.
12. Expand enrolment of competitive international students in undergraduate programmes.
13. Develop strategic customized programmes for undergraduates in study abroad options. Increase the number of UWI students involved in international exchanges.
14. Sensitize national communities to international opportunities at UWI.
15. Engage in collaborative bidding for international projects.
16. Provide graduate students with opportunities to participate in short seminars abroad.
17. Mount short seminars at UWI for international graduate students.
18. Strengthen campus international offices to assist with the collaborative strategies.

Anticipated Impact

It is anticipated that attention to all these areas will result in

- Enhancement of research output and productivity
- Increase in enrolment of international students
- Increase in M.Phil and PhD completions
- Knowledge acquisition from partnering in research and innovation
- International visibility
- Increased opportunities for accessing research funding and generating earned income through sponsored research work, grant of patents and innovation
- Number of staff exchanges and attachments and visiting professorships

Further details are set out in an Action Plan located at page 99-100 of this document.

ENROLMENT GROWTH

Cave Hill Campus

143. In keeping with the national aspiration of the host country for a graduate in every household, the Cave Hill Campus plans to continue to increase enrolment over the plan period at an annual average growth of 9.8%. Excluding undergraduate certificate and diploma programmes, this will increase the total on-campus enrolment cumulatively from a level of about 6,400 students in 2006/07 to 10,200 in 2011/12, as shown in Table 1. It should be noted that the enrolment statistics omit students currently enrolled in UWIDEC programmes. The assumption is made that those students will be absorbed within the Open Campus framework.

TABLE 1
PROJECTED ENROLMENT GROWTH – CAVE HILL CAMPUS

	Actual		Projected Enrolment		
	2006/07	2007/08 ^P	2008/09	2009/10	2011/12
Full-Time First Degree Enrolment	2,816	3,071	3,264	3,446	3,815
Part-Time First Degree Enrolment	2,897	3,100	3,537	4,045	5,269
Total Enrolment in First Degree Programmes	5,713	6,171	6,801	7,491	9,084
Enrolment in Higher Degree & Advanced Diplomas	672	781	851	928	1,102
On-Campus Enrolment (excl. Certs & Diplomas)	6,385	6,952	7,652	8,419	10,186

144. The projected enrolment includes the planned creation of a full-fledged Faculty of Medical Sciences, for which the first intake is expected to commence in 2008/09 with a cohort of 50-60 students. Thereafter, the intake to the Faculty will increase each year by a factor of 10 students.

145. Based on the projections, by 2011/12 roughly one in every nine students enrolled at the Cave Hill Campus will be enrolled in Higher Degree and Advanced Diploma programmes.

Note: It should be noted that enrolment data for 2007/2008 is still provisional and likely to be adjusted upwards eventually.

Mona Campus

146. It is projected that there will only be modest growth in enrolment at the existing campus during the plan period. An average annual rate of growth of approximately 3% is projected. It is estimated that of the Faculty of Pure and Applied Sciences which has spare capacity will increase first degree enrolment faster than the campus average at an annual rate of 6%. Likewise, in keeping with the desire to expand graduate studies and research, it is expected that enrolment in the graduate programmes will increase at 3.5% per annum - a slightly faster rate than the campus average.

TABLE 2
PROJECTED ENROLMENT GROWTH – MONA CAMPUS

	Actual		Projected Enrolment		
	2006/07	2007/08 ^P	2008/09	2009/10	2011/12
Full-Time First Degree Enrolment	6,480	6,357	6,899	7,098	7,514
Part-Time First Degree Enrolment	2,399	2,608	2,574	2,636	2,765
Total Enrolment in First Degree Programmes	8,879	8,965	9,473	9,734	10,279
Enrolment in Higher Degree & Advanced Diplomas	2,860	2,819	3,034	3,137	3,392
On-Campus Total Mona (excl. Certs & Dips)	11,739	11,784	12,507	12,871	13,671
Enrolment - Western Jamaica Campus	-	-	200	700	1,500
Mona and Western Jamaica Combined	11,739	11,784	12,707	13,571	15,171

147. The most important new initiative will be the establishment of the Western Jamaica Campus to be located at Montego Bay with a peak enrolment of 1,500 students by the end of the plan period. The first intake of students is projected for 2008/09 with a cohort estimated to be of the order of 200 students.

148. Under these assumptions on-campus enrolment is expected to grow from a level of roughly 11,700 in 2006/07 to 15,200 in 2011/12, as shown in Table 2. (Students enrolled in undergraduate certificate and diploma programmes are omitted.) Thirty-four hundred students will be enrolled in on-campus graduate programmes, representing slightly under one-quarter of the enrolment on the existing campus and 22% of the combined enrolment.

Note: It should be noted that enrolment data for 2007/2008 is still provisional and likely to be adjusted upwards eventually.

St. Augustine Campus

149. The highlight of the enrolment growth plans for the St. Augustine Campus is the targeting of very substantial growth in postgraduate enrolment with an emphasis on the expansion of research training at the M.Phil and PhD levels. The Campus plans to increase enrolment in those programmes from 625 students in 2006/07 to 1,200 students in 2011/12, as shown in Table 3. Enrolment in the taught programmes is expected to grow at an average annual rate of 7%.

TABLE 3
PROJECTED ENROLMENT GROWTH – ST. AUGUSTINE CAMPUS

	Actual		Projected Enrolment		
	2006/07	2007/08 ^P	2008/09	2009/10	2011/12
Full-Time First Degree Enrolment	8,815	9,009	10,062	10,690	12,000
Part-Time First Degree Enrolment	2,118	2,236	2,750	3,200	4,500
Total Enrolment in First Degree Programmes	10,933	11,245	12,812	13,890	16,500
Enrolment in Higher Degree & Advanced Diplomas	3,119	3,006	3,389	3,719	4,700
On-Campus Total (excl. Certs & Diplomas)	14,052	14,251	16,201	17,609	21,200
Of which:					
<i>Evening University – First Degree Enrolment</i>	<i>1,001</i>	<i>1,234</i>	<i>1,500</i>	<i>1,875</i>	<i>3,000</i>
<i>Research Degree Enrolment</i>	<i>625</i>	<i>614</i>	<i>739</i>	<i>869</i>	<i>1,200</i>

150. Enrolment in first degree programmes will grow on the campus at the rate of 8.6% but much of that growth will be in relation to part-time study, with the rapid expansion of Evening University enrolment.

151. The Campus also plans to place emphasis on a change in the enrolment mix to achieve a reversal of the decline in the proportion of students enrolled in science and technology based programmes. Under these assumptions, the proportion will increase from the current level of 48% to 55%.

152. Projected on-campus enrolment (excluding undergraduate certificate and diploma programmes) will rise from roughly 14,000 students to 21,000 at the end of the plan period.

Note: It should be noted that enrolment data for 2007/2008 is still provisional and likely to be adjusted upwards eventually.

The Open Campus

153. Planned enrolment in blended learning programmes in the Open Campus is targeted to be at a level of 15,000 students by 2011/12. By comparison enrolment in UWIDEC programmes amounted to roughly 3,900 students in 2006/07. For the purpose of this plan, enrolment in programmes of the School of Continuing Studies (SCS) is excluded.

UWI Combined

154. The effect of the planned enrolment growth outlined earlier for the UWI as a whole will be to increase on-campus enrolment in first degree, higher degree and advanced diploma programmes from approximately 32,200 students to 46,600, as indicated in Table 4. That will represent a cumulative 45% increase in enrolment at the existing campuses and the Western Jamaica initiative. On-campus graduate enrolment will grow by 38% over the five year period.

TABLE 4
PROJECTED ENROLMENT GROWTH – UWI

	Actual		Projected Enrolment		
	2006/07	2007/08 ^P	2008/09	2009/10	2011/12
On Campus Enrolment					
Full-Time First Degree Enrolment	18,111	18,437	20,425	21,934	24,829
Part-Time First Degree Enrolment	7,414	7,944	8,861	9,881	12,534
Total Enrolment in First Degree Programmes	25,525	26,381	29,286	31,815	37,363
Enrolment in Higher Degree & Advanced Diplomas	6,651	6,606	7,274	7,784	9,194
On-Campus Total (excl. Certs & Diplomas)	32,176	32,987	36,560	39,599	46,557

155. To these student numbers must be added the access facilitated by the growth of enrolment in the Open Campus.

Note: It should be noted that enrolment data for 2007/2008 is still provisional and likely to be adjusted upwards eventually.

RESOURCE REQUIREMENTS AND FINANCING

156. Successful implementation of the proposals set out in this strategic plan will require adequate access to new financial resources. Efforts have been made to rely on a participatory process to project the likely resource requirements. Initially, the cross-campus teams that were involved in the development of concept papers and action plans for selected strategic themes were asked to identify the resource implications. This was done in broad terms. In the final stages of preparation of the draft plan, each Planning Task Force (PTF) Group was requested to develop financial projections of resource requirements under the guidance of the Campus Bursars and the Office of Finance. Invitations were extended to all Faculty Deans to participate in the process. The original estimates of needs and expenditure directly related to the strategic themes and enablers were substantially refined over the period October-November, 2007 in another round of consultation with Campus management and the PVCs in their role as Theme Leaders.

157. **Appendix I** sets out the resulting projections of expenditure at the operations level.¹ Appendices labeled I(a)-I(e) provide the data for Cave Hill, Mona, St. Augustine, the Open Campus and the University Centre in that order. A university wide consolidation of the financials is brought together in Appendix I(f), while Table 5 below summarizes the projected capital development expenditure for the five year period. Further elaboration of that capital expenditure is provided at **Appendix II**.

TABLE 5
PROJECTED CAPITAL DEVELOPMENT EXPENDITURE: 2007/8-2011/12

PROJECT LOCATION	2007/08	2008/09	2009/10	2010/11	2011/12	Total
	US\$ Million					
Cave Hill Campus	3.0	10.5	29.8	30.3	25.0	98.6
Mona Campus	1.3	11.3	17.3	48.6	45.7	124.2
St. Augustine Campus	2.5	21.5	29.0	24.0	31.2	108.2
Open Campus	-	2.3	4.5	2.3	0.0	9.1
Campus-Wide Initiatives	-	1.0	4.5	6.5	6.0	18.0
University Centre	-	0.0	0.0	5.0	5.0	10.0
Total	6.8	46.6	85.1	116.7	112.9	368.1

¹ In preparing the projections, the operations of the School of Continuing Studies and Distance Education were excluded from the Campus estimates, as the activities of these entities are now subsumed within the Open Campus.

Cave Hill Campus*Expenditure*

158. In relation to the **Cave Hill Campus**, it is shown at Appendix I(a) that funding amounting to BD\$21.2 million is budgeted in 2008/09 to meet recurrent costs associated with the implementation of initiatives directly related to the core strategic focus and priority enablers. For the entire five year period 2007/08 to 2011/12, the total recurrent expenditure on implementation of the strategic themes is projected to be BD\$87.9 million.

159. The largest proportion of these resources, sixty-eight percent or BD\$60.2 million, will be allocated to Teaching and Learning enhancement initiatives and delivery of programmes to a much enlarged student population over the five-year period to 2011/12. These resources will fund increases in teaching and academic support staff, library services and student services. Additionally, it is projected that the sum of BD\$10.7 million will be needed to implement strategic interventions to develop Graduate Studies and Research and Innovation at the campus during the same time interval. Quality assessment, cross-campus collaboration, increases in research fellowships, strengthening the research infrastructure, greater opportunities for graduate student attachments and enhanced support for research students are among the principal uses identified. Transformation of the Administrative Culture and Processes will also require significant level of funding of the order of BD\$9.3 million over the period of the strategic plan.

160. The projection of the capital requirements for Cave Hill is shown at Table 5. Approximately US\$98.6 million of investment expenditure is planned to address the major capital needs of the campus over the five-year period. The campus priorities include infrastructural development of the recently acquired lands at Black Rock, construction of student accommodation, library facilities, science and computer laboratories, and dedicated space for graduate studies. Also included are phase 2 of the transformation of the School of Clinical Medicine into a full-fledged Faculty of Medical Sciences and the development of facilities for CERMES.

161. Projections are included in Appendix I(a) indicating the expected growth in income and expenditure related to current operations. It is estimated that the Campus' recurrent expenditure (excluding the servicing of long-term debt) will rise by 58% from BD\$94.6 million in 2006/07 to

BD\$149.3 million in 2011/12. Total recurrent expenditure, including spending on Special Projects, will also increase from \$131.6 million in 2006/07 to \$226 million.²

Income

162. There are three main sources of revenues: Government Contributions, Tuition Fees and Other Income.

163. Income from tuition fees is expected to rise from BD\$19.3 million to \$32.2 million. The projection of tuition fee income assumes the continuation of the existing policy of a 20 percent recovery of economic costs.

164. For current operations at Cave Hill, government contributions (including support for the repayment of long-term loans) are projected to increase by 90% from BD\$78.6 million to BD\$149.4 million over the plan period.

Mona Campus

Expenditure

165. Appendix I(b) indicates that funding amounting to JA\$215.0 million will be needed to meet recurrent costs associated with the implementation of initiatives directly related to the core strategic focus and priority enablers in 2008/09. For the entire five year period 2007/08 to 2011/12, a total of JA\$2,168.2 million will be required to implement strategic theme proposals.

166. Twenty-eight percent of the resources will go towards Teaching and Learning enhancement initiatives and facilitation of access through the UWI Western Jamaica initiative. Taken together, the development of Graduate Studies and Research and Innovation at the Mona Campus will require JA\$1,115.6 million or fifty-one percent of the resources. Target areas include quality assessment, research fellows, commercialization, cross-campus collaboration, graduate student attachments and building capacity to win funding for sponsored research.

² Non-staff costs have been adjusted each year by 5.5% to take account of inflation. Provisions have been made as well for the effect of annual increments on wages and salaries.

167. Of the major enablers, Transformation of the Administrative Culture and Processes and Marketing and Branding will require the most financial support at Mona. It is estimated that allocations totaling JA\$85.8 million and JA\$96.7 million, respectively, will be needed over the five-year period.

168. Estimates of the capital requirements for the Mona Campus are set out in Table 5. The projections indicate that a total of US\$124.2 million will be needed to meet the major capital needs of the campus. The expenditure will be used primarily to develop the Western Jamaica Campus, a Basic Medical Sciences Complex, construction of a Multifunctional Campus Centre building, the proposed Mona Bowl Sporting Complex, expansion of library space and student accommodation, and the creation and/or enhancement of teaching and learning space. An initial provision has also been made to allow the campus to address the needs of the University Hospital.

169. As in the case of Cave Hill, projections have been made for the expected growth in income and expenditure related to current operations. These are also set out at Appendix I(b). It is estimated that the Campus' recurrent expenditure (excluding the servicing of long-term debt) will rise from JA\$5,944.1 million in 2006/07 to JA\$8,072 million in 2011/12. Total recurrent expenditure, including spending on Special Projects, will increase by 45% from JA\$8,903.3 million in 2006/07 to JA\$12,872.8 million.³

Income

170. Income from tuition fees is expected to rise from JA\$1,040.1 million to \$1,798.2 million. The projection of tuition fee income is based on the assumption of a continuation of the existing policy of a 20 percent recovery of economic costs.

171. For current operations at Mona, government contributions (including support for the repayment of long-term loans) are projected to increase by 40.8% from JA\$4,895.4 million to JA\$6,891.6 million over the plan period.

³ Non-staff costs have been adjusted each year by 7.0% to take account of inflation. Provisions have been made as well for the effect of annual increments on wages and salaries.

St. Augustine Campus*Expenditure*

172. Appendix I(c) indicates that a total of TT\$62.2 million will be required at the St. Augustine Campus to meet the recurrent costs associated with the implementation of initiatives directly related to the core strategic focus and priority enablers in the first two years of the plan. For the entire five year period 2007/08 to 2011/12, a total of TT\$170.4 million will be required to implement strategic theme proposals.

173. Fully seventy-six percent of the resources will support Teaching and Learning enhancement initiatives. The resources will be applied mainly to increases in academic and support staff, employment of curriculum specialists and educational technologists, equipment for the IDU, additional library resources, internships for students, and additional hardware and laboratory resources.

174. It is also projected that the sum of TT\$25 million will be needed to develop the areas of Graduate Studies and Research and Innovation at St. Augustine Campus. The targeted areas include training of academic supervisors, appointment of postdoctoral fellows, enhanced support for research students, training for academic staff in proposal writing, promotional costs, and financial awards and incentives for research excellence.

175. Among the priority enablers, Transformation of the Administrative Culture and Processes and growth in Internationalization will require TT\$4.9 million and TT\$4.3 million, respectively. Expenditure on consultancy services, customer service representatives and administrative staff will support the culture change process.

176. Table 5 sets out estimates of the capital requirements for the St. Augustine Campus over the plan period. The projections indicate that a total of US\$108.2 million will be needed to meet the major capital needs of the campus over the period 2007-2012. The thrust of the campus development effort will be to increase student accommodation, development of a multi-storey Teaching and Learning Complex, expansion and enhancement of facilities for programmes in the Medical Sciences, staff and conference facilities, phase II of the Centre for Cultural and Fine

Arts, creation of a new running track for the Sir Frank Worrell field, construction of the Health Economics Unit and security infrastructure.

177. In relation to the projected growth in income and expenditure related to current operations at the St. Augustine Campus, it is estimated that the recurrent expenditure (excluding the servicing of long-term debt) will rise from TT\$400.3 million in 2006/07 to TT\$612.5 million in 2011/12 – an increase of 53%. Total recurrent expenditure, including spending on Special Projects, will increase by 54% from TT\$642 million in 2006/07 to TT\$988.5 million at the end of the plan period.⁴

Income

178. Income from tuition fees is expected to rise from TT\$75 million to \$95.0 million. The projection of tuition fee income assumes once more the continuation of the existing policy of a 20 percent recovery of economic costs.

179. For current operations at St. Augustine, government contributions (including support for the repayment of long-term loans) are projected to increase by 72% from TT\$312.4 million to TT\$538.5 million over the plan period, under the indicated assumptions.

Open Campus

Expenditure

180. Appendix I(d) projects that in order to build capacity, expand enrolment and address service quality improvement the operating expenditure of the newly created Open Campus will need to grow from BD\$32.5 million in 2007/08 to BD\$39.7 million by 2011/12. In addition, implementation of initiatives directly related to the strategic themes and enablers will require approximately BD\$66.2 million of operating expenditure over the plan period. At the same time tuition fees is project to grow from BD\$8.8 million to \$30.0 million. It must be recalled here that during the five-year period the Open Campus will be attempting to achieve a more than threefold growth in enrolment.

⁴ Non-staff costs have been adjusted each year by 7.2% to take account of inflation. Provisions have been made as well for the effect of annual increments on wages and salaries.

181. Table 5 includes US\$9.1 million in expenditure for Open Campus capital development activity. This expenditure is directed towards technical enhancement of Open Campus sites and expansion/upgrading of infrastructure for online delivery.

University Centre – Consolidated

Expenditure

182. The financial projections for the University Centre – Consolidated are set out at Appendix I(e). It is estimated that operations level expenditure for the Centre will need to grow from BD\$60.8 million in 2007/08 to \$76.5 million in 2011/12. The recurrent costs associated with the implementation of initiatives directly related to the core strategic focus and priority enablers will amount to approximately BD\$37.0 million.

183. The distribution of the resources diverges from that observed earlier for the three long-established campuses, where expenditure on Teaching and Learning enhancements was dominant. The major need of the Centre is support for the development of Graduate Studies, Research and Innovation, Strengthening Regionality and Leadership and Administrative Transformation.

184. Included in the capital requirements set out at Table 5 is a provision of US\$10.0 million for the consolidated Centre. This estimate relates to the construction of a University Centre Building.

185. The projected growth in income and expenditure related to current operations of the Centre is summarized at Appendix I(e). Recurrent expenditure (excluding the servicing of long-term debt) will rise by 42% from BD\$54.0 million in 2006/07 to BD\$76.5 million in 2011/12. Total recurrent expenditure, including spending on Special Projects, will increase by 54.7% from BD\$77.5 million in 2006/07 to BD\$119.9 million in 2011/12.⁵ The inflation adjustment used in computing the projections is a weighted average of the inflation factors previously cited for the campuses.

⁵ Non-staff costs have been adjusted by the weighted average of the inflated adjustment for the Campuses.

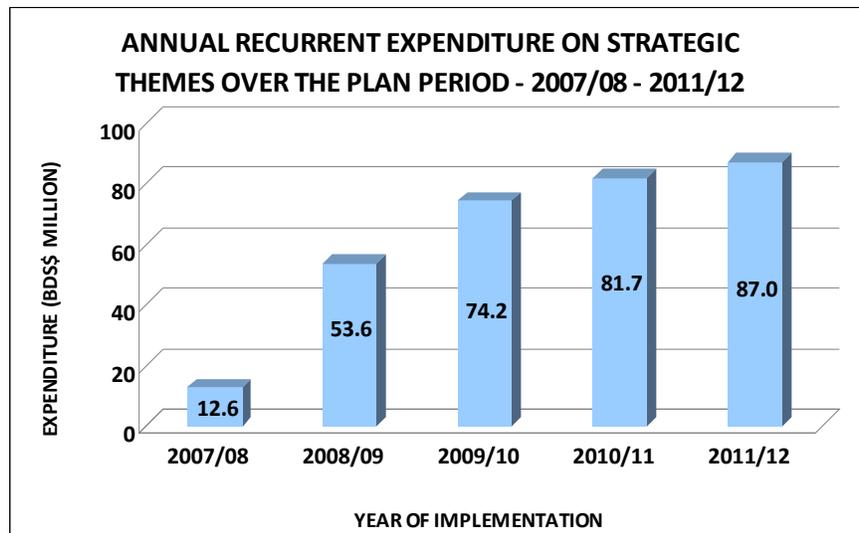
Income

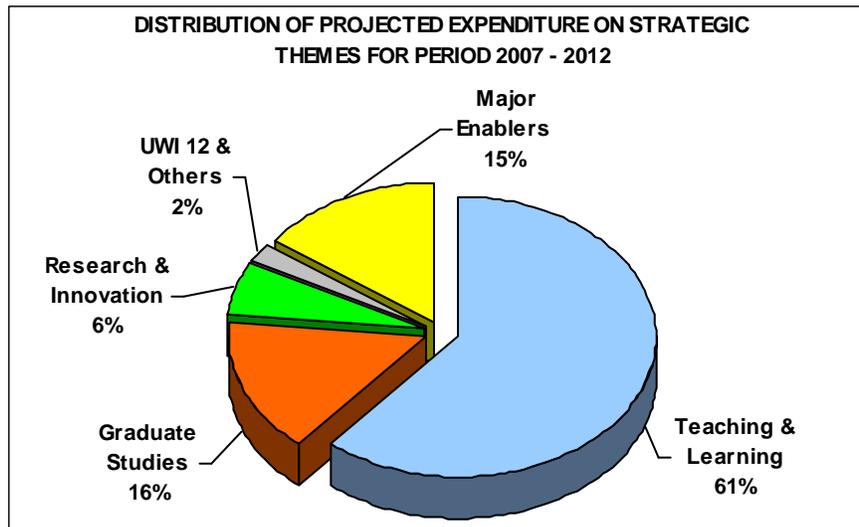
186. Income from tuition fees is expected to rise from BD\$13.6 million to BD\$17.7 million – an increase of 30.1%. The projection of tuition fee income assumes the continuation of the existing policy of a 20 percent recovery of economic costs.

187. For current operations of the Centre, government contributions (including support for the repayment of long-term loans) are projected to increase by 62.7% from BD\$39.7 million to BD\$64.6 million over the plan period.

The UWI - Consolidated

188. For the University as a whole, Appendix I(f) sets out estimates of the resources that will be required annually to support implementation of the **core strategic focus** and **major enablers**. It is estimated that the total recurrent expenditure will amount cumulatively to BD\$309.2 million for the 5 year period. The first of the two charts overleaf illustrates the yearly outlay projected. The second chart indicates the relative allocations needed to support university wide implementation of the key proposals in the strategic plan.





189. The chart above clearly shows that Teaching and Learning will require the major share of funding – fifty-one (51) percent. Implementation of initiatives related to Graduate Studies and Research and Innovation, taken together, will account for twenty-two (22) percent of expenditure. Allocations for the Open Campus will represent only two (2) percent of total expenditure.

190. By comparison, the six major enablers will require roughly fifteen (15) percent of total spending on plan implementation.

Capital Development Expenditure

191. Appendix III provides an overview of the University's capital development programme projected through to 2013/14. It is assumed that implementation of all of the projects identified in that appendix will commence no later than 2011/12, the final year of the new plan, subject to mobilization of funding. Completion is likely, however, to extend for one or two years beyond the plan horizon.

192. As indicated at Table 5, the total capital development programme is estimated to cost US\$368.1 million over the period 2007-2012. It is envisaged that the funding sources will be a mix

of government grants and government guaranteed loans, non-governmental debt financing, internally generated funds, and donors. Funding for some projects has already been secured but, in general, exploration of funding options is ongoing at this stage.

IMPLEMENTATION STRATEGY

193. We fully recognize that however well-conceived this plan may be, the major challenge will be successful implementation of the proposals and strategies for achieving our transformational goals. This requires an explicit implementation strategy.

194. The implementation strategy that we have selected relies on a cluster of approaches which include the following:-

- Continue to foster buy-in of internal and external stakeholders for the vision and related strategic transformation of the University that is at the core of the strategic plan.
- Retain the Chairpersons of the Planning Task Force (PTF) Groups within the Executive Management Team of the University to serve as champions or advocates of the plan in relation to consistency of decision making and alignment of resource allocation with the strategic goals and objectives.
- Refine performance indicators and use them to evaluate implementation progress and the effectiveness of selected strategies.
- It will be a requirement of all departments to develop and implement operational plans that contribute appropriately to implementation of the strategic plan.
- Responsibility for leading and supporting implementation of major initiatives and areas of strategic focus will be appropriately distributed so as to ensure University-wide synchronization and Campus specific achievements.
- Action will be taken to institutionalize the preparation of sound operational plans that are linked to budgets.
- Action will be taken to ensure that strategic initiatives are taken to address cultural transformation; change management and resource management.
- A system of formal annual reporting on progress (with appropriate instruments) will be put in place and outcomes will be measured and evaluated in relation to the established goals and that value for money accountability propositions are taken into account.

- The plan will also be infused with a degree of flexibility to respond to changing circumstances. Where appropriate, Executive Management will sanction changes to goals, targets, strategies and the reordering of priorities as may be required.
- A resource mobilization and multi-pronged financing strategy will be pursued.

Update of Implementation Management Initiatives as at April 25, 2008

195. University Council, at its last business meeting of Council held on May 31 and June 01, 2007, approved the draft Strategic Plan 2007-2012, subject to review and revision of the financial projections and of the performance indicators. At that last meeting University Council also approved the appointment of Dr. Bhoendradatt Tewarie, then Principal, St. Augustine Campus, as Pro Vice Chancellor for Planning and Development. The Pro Vice Chancellor for Planning and Development assumed responsibilities on August 01, 2007 and has since, with the support of the Director of Planning and the Planning and Development Office begun to manage and monitor implementation of the Plan.

196. It is reasonable to claim that a formalized approach to implementation of the Strategic Plan 2007-2012 began in earnest at the end of August 2007. The formalization of the action process was made possible through the initiative of Vice Chancellor Eon Nigel Harris who hosted an Executive Management Retreat over the period August 23-25 inclusive. The main focus of this retreat was implementation of the Strategic Plan.

197. At that Strategic Retreat, a framework for managing the implementation of actions required by the Strategic Plan 2007-2012 was developed and outlined by PVC Planning and Development to members of the Executive Management. The framework emphasizes the importance of a coordinated University-wide approach to plan implementation with sustained focus on the attainment of clear objectives within specific timeframes in recognition of the fact that the best conceived plans can be undermined by weak and undermanaged implementation strategy. The framework mentioned above has been accepted and has been guiding the implementation process. The framework assigns responsibility to PVCs and senior managers to lead each of the ten (10) themes across the University and to support implementation of initiatives in each of the areas of strategic focus. But it also outlines a drill down implementation strategy led by Campus Principals, Taskforce Chairs, Senior Management, Deans and Heads.

198. Over the last seven (7) months, this framework has been diligently followed in pursuing desirable objectives and will continue to guide action over the five (5) year period. Modifications will be made if and when required.

STRATEGIC PLAN: 2007-2012

DRAFT ACTION PLANS

TEACHING AND LEARNING

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>To prepare a distinctive UWI graduate for the 21st century – one who has a regional frame of reference and exemplifies the following attributes:-</p> <p>(i) a critical and creative thinker, (ii) a problem solver, (iii) an effective communicator, (iv) knowledgeable and informed, (v) competent, (vi) a leader (vii) a team player, (viii) IT skilled and literate, (ix) socially and culturally responsive, (x) ethical, (xi) innovative and entrepreneurial, (xii) a lifelong, self-motivated learner.</p>	<ul style="list-style-type: none"> • Emphasize and carry out Curriculum Renewal as a reflective and dynamic process. - Review academic programmes every 3 to 5 years. - Upgrade or modify existing courses to ensure that they reflect developments in the discipline and professional practice. - Eliminate courses that are poorly subscribed or that have lost their relevance to country and region. - Introduce cutting edge courses that address current or emerging market needs. - Introduce new academic programmes to fill identified gaps or respond to emerging market needs. - Ensure that students have access to and are proficient in the use of software appropriate to the discipline - Establish mechanisms for stakeholder feedback. 	<p>Completion of curriculum renewal plan for departments by 15/01/08</p> <p>Completion of implementation of agreed changes in curriculum 18 months thereafter (31/07/09)</p> <p>Programme of new courses to be introduced over the five-year period due July 31, 2008. Plan subject to annual review and modification as required</p> <p>Determination of software to be introduced in September 2008 – by July 31, 2008. Followed by annual reviews.</p>	<ul style="list-style-type: none"> • Quality of student intake • Quality of Teaching – proportion of staff certified, proportion of staff participating in training • Improvements in students’ performance as measured by better graduates, degree quality and throughput rates • Outstanding student achievements and recognition • Extent to which curriculum renewal offers flexibility in education choices • Student evaluation of education experience • Job search success of graduates • Employer feedback on work performance of graduates • Staff recognition for teaching and learning • Publications output of faculty related to teaching and learning • Action steps actually taken to advance implementation of strategies

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
TEACHING AND LEARNING (Continued)	<ul style="list-style-type: none"> • Enhance teaching quality. <ul style="list-style-type: none"> - Ensure that all new lecturers are trained and certified to deliver higher education programmes. - Foster participation of all teaching staff in IDU-driven initiatives. - Leverage incentives for teaching skill enhancement and innovation. - Promote the use of ICTs to enhance teaching. - Ensure that student/staff ratios are maintained at levels consistent with education quality. 	<p>Training Programme leading to HE teacher certification to be approved by January 2008</p> <p>Each faculty to develop plan for phased teacher training 2008-2010 by July 31, 2008, for 2010-2013 by November 1, 2008 in collaboration with IDUs</p> <p>Departments to report annually on progress in this area by July 31</p> <p>Deans to work with Heads and HR to ensure that ratios for 60% of their offerings are achieved by September 2009; 100% by January 2012</p>	<ul style="list-style-type: none"> • Quality of student intake • Quality of Teaching – proportion of staff certified, proportion of staff participating in training • Improvements in students’ performance as measured by better graduates, degree quality and throughput rates • Outstanding student achievements and recognition • Extent to which curriculum renewal offers flexibility in education choices • Student evaluation of education experience • Job search success of graduates • Employer feedback on work performance of graduates • Staff recognition for teaching and learning • Publications output of faculty related to teaching and learning • Action steps actually taken to advance implementation of strategies
	<ul style="list-style-type: none"> • Enhance learning effectiveness by providing students with a more diverse and flexible learning experience. <ul style="list-style-type: none"> - Place students at the centre of the teaching/learning experience. - Use greater variety of teaching/learning strategies. - Include strategies that develop critical thinking and problem solving. - Provide able students with opportunities for experiential learning. - Use a wider and more appropriate range of assessment strategies. - Expand student access to blended- and computer-mediated learning. 	<p>All departments to determine courses/programmes where experiential learning will be most beneficial by July 31, 2008. Execution plan by November 1, 2008.</p> <p>All departments/faculties to report on increases in courses of this nature annually July 31.</p> <p>All departments/faculties to determine lists of courses/programmes to be supported by internships by July 31, 2008. Execution plan to be completed by May 2009.</p>	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
TEACHING AND LEARNING (Continued)	<ul style="list-style-type: none"> - Increase access of students to internship and similar opportunities for developing job skills. - Increase access of students to study abroad and student exchange opportunities. - Increase student involvement in social and community programmes. - Improve student assessment methods and expand options to develop the wider range of competences and attributes valued in the ideal UWI graduate. - Enhance student access to academic advisory services - Ensure adequacy of student access to laboratories, workshops, studios, etc. - Make policy changes to accommodate transformation of the learning context. 	<p>Targets to be set by Campus International Office in consultations with Deans and Principals</p> <p>Establish Campus infrastructure to facilitate this process by November 30, 2008.</p> <p>Review of assessment methods and introduction of improved methodology on a semester by semester basis</p> <p>Comprehensive Faculty Academic Advisory Services to be established by July 31, 2009</p> <p>Library to report on improvements on annual basis, September 1</p> <p>Timetable synchronization on annual basis perfected by July 31, 2009</p>	<ul style="list-style-type: none"> • Quality of student intake • Quality of Teaching – proportion of staff certified, proportion of staff participating in training • Improvements in students’ performance as measured by better graduates, degree quality and throughput rates • Outstanding student achievements and recognition • Extent to which curriculum renewal offers flexibility in education choices • Student evaluation of education experience • Job search success of graduates • Employer feedback on work performance of graduates • Staff recognition for teaching and learning • Publications output of faculty related to teaching and learning • Action steps actually taken to advance implementation of strategies
	<ul style="list-style-type: none"> • Strengthen quality assurance and enhance academic standards. <ul style="list-style-type: none"> - Implement recommendations of Examiners in a timely manner. - Implement recommendations of Quality Assurance reviews in a timely manner. - Ensure that all departmental responsibilities for the Examinations Process are carried out efficiently. - Ensure the alignment of Assessment Methods with changes in Pedagogy. - Ensure Compliance with the Quality Manual. 	<p>All examiners recommendations to be reviewed and implemented by February 2009</p> <p>Quality Assurance Review recommendations to be implemented by March 2010</p> <p>Compliance regime to be established by Registry with sanctions for non-compliance - July 31, 2008</p> <p>Review assessment methods regime</p> <p>Compliance regime to be established by OBUS with sanctions for non-compliance, July 2009</p>	

GRADUATE STUDIES

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>To make the University of the West Indies an internationally recognized centre of excellence for graduate education, especially respected and sought after for:- (i) the delivery of first rate graduate programmes, (ii) its pre-eminence in Caribbean scholarship, and (iii) its output of higher degree graduates who are at the cutting edge of contemporary scholarship and expertise.</p>	<ul style="list-style-type: none"> • Build Quality in Taught Programmes. <ul style="list-style-type: none"> - Review curricula of existing programmes to ensure that they are in step with developments in the discipline and current professional practice. - Strengthen the system for the selection, admission, induction and monitoring of progression of students. - Expand offerings to respond to market needs, particularly in areas that are linked to regional development. - Provide potential students with access to attractive university-wide taught programmes that are flexible in delivery and meet stakeholder needs. - Support the development of a QA system for evaluation and enhancement of graduate programmes. 	<p>All departments to complete review and renewal by March 30, 2009</p> <p>PVC Graduate Studies to establish clear regime by November 1, 2008</p> <p>Action Plan over five years (with annual review) to be completed November 1, 2008</p> <p>Faculties/Open Campus to complete action plan November 1, 2008</p> <p>System to be fully established by July 31, 2009</p>	<p><u>TEACHING & LEARNING AT GRADUATE LEVEL</u></p> <ul style="list-style-type: none"> • Compliance with defined Threshold Enrolment Levels • Compliance with all the normal requirements of undergraduate courses (e.g. QA processes) • Improvements in students' performance and progression (e.g. Throughput rates) • Extent to which research components have been incorporated in taught graduate programmes • Student evaluation of graduate education experience • Employer assessment of value of programmes (program specific) • Growth of innovative, multidisciplinary programmes in response to regional needs and convergence of disciplines (curricula renewal) • Recognition of quality Teaching & Learning accomplishment of staff • Action steps actually taken to advance implementation of strategies
	<ul style="list-style-type: none"> • Build Capacity for excellence in higher degree programmes. <ul style="list-style-type: none"> - Use differential teaching loads to deploy dedicated teaching resources for taught graduate programmes of high quality. - Strengthen administrative support for the delivery of quality graduate programmes, including the appointment of Programme Leaders, deployment of Deputy Deans to lead vibrant Faculty Committees on Graduate Programmes and assignment of dedicated Administrative Assistants to provide Support & Student Centredness in Faculties. 	<p>Work differentiation models for Academics and Academic track options to be finalized by January 2009</p> <p>Support systems to be installed and functional by July 31, 2009</p>	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
GRADUATE STUDIES (Continued)	<ul style="list-style-type: none"> • Strengthen academic supervision and overall programme quality in research training at the M.Phil and Ph.D levels. <ul style="list-style-type: none"> - Provide for the training and retraining of staff to raise the quality of academic supervision. - Monitor the supervisory process. - Better manage and increase staff resources to facilitate phasing-in of planned expansion of graduate enrolment. - Make increased use of inter-campus and inter-institutional collaboration as well as high quality, retired staff in research, supervision and examination. - Improve the turnaround time for assessment of theses. - Strengthen examinations for research degrees. - Take steps to improve academic progression and throughput rates. - Enhance institutional support research training – advanced research methods, access to electronic databases, high quality laboratories, etc. 	<p>All Academic staff involved in MPhil and PhD supervision to be exposed to first training session before June 2009</p> <p>Transparent, effective regime to be established by October 2008</p> <p>Rationalize existing supervision by November 2008 so that it is synchronized with Research/Innovation Development Strategy</p> <p>Strict regime for high standards and acceptable throughput to be established November 30, 2008</p> <p>Clear regime to be established November 30, 2008</p> <p>Plan for institutional support for research to be completed November 2008. Execution over 5 years.</p>	<p><u>RESEARCH AT GRADUATE LEVEL</u></p> <ul style="list-style-type: none"> • Established, compulsory programmes of training for research students in research methodology and related areas • Established framework for all PH.D/M.Phil supervisors to undergo training and upgrades every 3 years • Ratio of graduate students per supervisor (norms to be established) • Extent of funding support for full-time research students • Established norms for funding support to full-time research students across the university • % of research students enrolled on full-time basis • Student satisfaction with graduate education experience • Development of sustainable research clusters of relevance • Number and quantum of external grants won • Relationship between intake, throughput, publications output by Faculty and students (research and research training productivity) • Effective Supervisory Committees drawing on university-wide and international expertise • Number of collaborating institutions in identifiable research fields
	<ul style="list-style-type: none"> • Expand enrolment of full-time research students and compete for high quality students globally. <ul style="list-style-type: none"> - Utilise expanded funding support for full-time research students in relation to scholarships, research and teaching assistantships, research grants, etc. 	<p>University wide regime to be established by July 31, 2009 at US\$10,000 per student annually. (Limited to a period of 3 years).</p>	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
GRADUATE STUDIES (Continued)	<ul style="list-style-type: none"> - Promote full-time research training as attractive and affordable through tuition fee waivers. - Enhance student access to academic advisory services. - Promote the UWI's research programmes and its research active staff across the Caribbean and beyond. 	<p>Policy decision to be brought to Executive Management by PVC Research</p> <p>Regime for enhanced academic advisory services to be established November 2008</p> <p>Marketing agenda to include promotional strategy for research each year</p>	<p><u>RESEARCH AT GRADUATE LEVEL</u></p> <ul style="list-style-type: none"> • Established, compulsory programmes of training for research students in research methodology and related areas • Established framework for all PH.D/M.Phil supervisors to undergo training and upgrades every 3 years • Ratio of graduate students per supervisor (norms to be established) • Extent of funding support for full-time research students • Established norms for funding support to full-time research students across the university • % of research students enrolled on full-time basis • Student satisfaction with graduate education experience • Development of sustainable research clusters of relevance • Number and quantum of external grants won • Relationship between intake, throughput, publications output by Faculty and students (research and research training productivity) • Effective Supervisory Committees drawing on university-wide and international expertise • Number of collaborating institutions in identifiable research fields
	<ul style="list-style-type: none"> • Strengthen Quality Assurance & Enhance Academic Standards. <ul style="list-style-type: none"> - Implement whenever possible the 27 precepts for "Continuous Quality Enhancement of UWI Postgraduate Programmes" re Report of VC's Committee to Review Graduate Programme - Implement External Examiners' recommendations in a timely manner - Implement the recommendations of Internal Quality Reviews of Departments as soon as possible - Prepare for and whenever possible, seek National/Regional/International accreditation of our Graduate Professional 	<p>November 30, 2008</p> <p>November 30, 2008</p> <p>July 31, 2009</p> <p>Faculties to identify list and action plan over 5 years by November 2008</p>	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
GRADUATE STUDIES (Continued)	<ul style="list-style-type: none"> • Build national, regional, and international partnerships to support research training and supervision. - Build international and cross-campus supervisory/examination capacity aligned to work with the International Office. - Seek regional/international funding support for Graduate Studies and Research with designated internal partners. - Seek international support for delivery of Supervisory Workshops, graduate student Transferable Skills Workshops for Research Theses, Best Practices in Graduate Education, etc. 	<p>Rationalisation of research supervision November 30, 2008</p> <p>On-going with annual reports</p> <p>5-year plan due November 30, 2008</p> <p>On-going with annual reports</p>	<p><u>RESEARCH AT GRADUATE LEVEL</u></p> <ul style="list-style-type: none"> • Established, compulsory programmes of training for research students in research methodology and related areas • Established framework for all PH.D/M.Phil supervisors to undergo training and upgrades every 3 years • Ratio of graduate students per supervisor (norms to be established) • Extent of funding support for full-time research students • Established norms for funding support to full-time research students across the university • % of research students enrolled on full-time basis • Student satisfaction with graduate education experience • Development of sustainable research clusters of relevance • Number and quantum of external grants won • Relationship between intake, throughput, publications output by Faculty and students (research and research training productivity) • Effective Supervisory Committees drawing on university-wide and international expertise • Number of collaborating institutions in identifiable research fields

RESEARCH AND INNOVATION

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>To become an international centre of excellence in research and innovation, recognized as the major source of knowledge creation and expertise for dealing with issues relating to the Caribbean and a leader in addressing challenges facing small, developing countries.</p>	<ul style="list-style-type: none"> • Build research capacity and create an enabling environment for the growth of research activity. - Ensure that staff have adequate time to conduct research and supervise research students. - Recruit new talented staff to help drive research in priority areas. - Provide additional incentives for high quality research and supervision. - Develop post-doctoral programmes. - Increase scholarships for research students. - Make changes in policy on tuition fees and economic costs for research students. - Mount workshops to enhance researcher capacity and supervisory skills, targeted at young faculty. - Support research reviews of departments. - Engage in more inter-campus collaboration in research and supervision. - Upgrade the infrastructural platform for research – including laboratories, libraries, and electronic databases. 	<p>Rationalization of Academic workloads and academic tracks by January 2009</p> <p>HR to draw up recruitment plan for 5 years by November 30, 2008</p> <p>Regime for research and research-related matters to be established by 30/11/ 2008</p> <p>PVC Research in collaboration with stakeholders to establish Regime for research and research related matters by November 2008</p>	<ul style="list-style-type: none"> • Number of action steps actually taken • Number and quantity of external research grants won • Output of research-based publications • Number of patents generated – (a) filed for; (b) granted • Number of research projects involving intercampus and international collaboration • Number of active student exchanges at postgraduate level • Number of post-docs and postdoctoral placements • Number of active business alliances for the purpose of innovation • Evaluation of extent to which research agenda is establishing UWI as expert centre for things Caribbean • Number of research projects involving intercampus and international collaboration

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
RESEARCH AND INNOVATION (Continued)	<ul style="list-style-type: none"> • Strengthen and expand relevant research of international quality. <ul style="list-style-type: none"> - Develop Faculty and Departmental Research Agendas around research clusters to address priority areas aligned to specific developmental needs. - Support the University's thrust towards the development and strengthening of communication mechanisms with Governments and Private Sectors. - Make fuller use of international collaboration including movement of faculty and graduate students to increase research output and quality. - Increase research active staff in selected research clusters. - Attract high quality regional and international students and postdoctoral fellows to research programmes. 	<p>Strategic Agenda to be completed November 30, 2008</p> <p>5-year strategy on-going to be lead by Campus Principals with annual reports</p> <p>PVC Planning/PVC Research/ PVC Graduate Studies to work with Deans , Research Supervisors and International Offices to facilitate annual reporting</p> <p>Campus Principals, Deans on-going Plan due November 2008</p> <p>On-going with annual targets monitored</p>	<ul style="list-style-type: none"> • Number of action steps actually taken • Number and quantity of external research grants won • Output of research-based publications • Number of patents generated – (a) filed for; (b) granted • Number of research projects involving intercampus and international collaboration • Number of active student exchanges at postgraduate level • Number of post-docs and postdoctoral placements • Number of active business alliances for the purpose of innovation • Evaluation of extent to which research agenda is establishing UWI as expert centre for things Caribbean • Number of research projects involving intercampus and international collaboration
	<ul style="list-style-type: none"> • Foster an Innovation and Enterprise Culture. <ul style="list-style-type: none"> - Provide incentives to encourage faculty involvement in innovation. - Recommend projects and ideas in theses for consideration by the Centre for Entrepreneurship, Innovation and Wealth Creation. - Assist in developing modalities for moving research outputs from publication to innovation and commercialization. - Facilitate the development of student and staff exchange programmes with business and industry. 	<p>Research and Innovation regime to be established 30, 2009</p> <p>First list of ideas to be introduced January 2009 by Centre</p> <p>Policy to be developed by January 2009</p> <p>Faculty plans due November 2008</p>	

UWI OPEN CAMPUS

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>To create an Open Campus to enable the University to expand the scope, enhance the appeal and improve the efficiency of its service to the individuals, communities and countries which it serves.</p>	<ul style="list-style-type: none"> • Build required structures and institutional capacity. <ul style="list-style-type: none"> - Implement approved arrangements for Governance. - Complete development of organizational and administrative structures – finance, human resource management, student services, etc. - Complete preparation of Business and Operational Plans. - Put in place policy framework for managing, developing and implementing open and flexible learning. - Secure budgetary support for Open Campus, including reallocation of outreach budget. - Develop needed infrastructural support for Open Campus, including enabling ICT platform. 	<ul style="list-style-type: none"> July 31, 2008 July 31, 2008 July 31, 2008 July 31, 2008 April, 2008 July 31, 2009 	<ul style="list-style-type: none"> • Number of students enrolled in degree programmes against target • Range of programmes in relation to target (including science programmes) • Number of programmes in relation to target • Percentage of courses meeting deadlines for examination turnaround • Number of student complaints about quality of programme • Number of students being served in each territory in relation to target • Number of new research projects (including regional projects) mounted in UWI-12 and other underserved communities • Number of graduate students from UWI-12 involved in research projects of relevance to the target countries • Increase in recruitment of UWI-12 students to Campuses
	<ul style="list-style-type: none"> • Enhance service to communities. <ul style="list-style-type: none"> - Expand the range of academic programme offerings by tapping into the pool of programmes already developed for online delivery by Faculties and Departments. - Commission new programmes, where appropriate, to respond to priority national and regional needs. - Expand opportunities for multimode 	<ul style="list-style-type: none"> Plan for 5-year period due July 31, 2008 To be included in plan and reviewed annually Included in plan 	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
OPEN CAMPUS (Continued)	delivery of a suite of continuing education & professional development programmes linked to market needs.		
	- Expand the number and scope of research activity in UWI-12 countries under the aegis of the Open Campus.	Included in plan	<ul style="list-style-type: none"> • Number of students enrolled in degree programmes against target
	- Review all current SCS programmes and select a sub-set of them to be developed for delivery to communities in the wider region.	Included in plan	<ul style="list-style-type: none"> • Range of programmes in relation to target (including science programmes) • Number of programmes in relation to target
	- Make more credit and non-credit courses available to persons in the UWI-12 seeking personal and vocational development.	Included in plan	<ul style="list-style-type: none"> • Percentage of courses meeting deadlines for examination turnaround • Number of student complaints about quality of programme
	- Address the urgent need for interventions in the UWI-12 countries and elsewhere to strengthen education at the primary and secondary levels, particularly in relation to Mathematics and Science Education and Language Learning.	Included in plan	<ul style="list-style-type: none"> • Number of student complaints about quality of service • Number of students being served in each territory in relation to target
	- Enhance the generation, management and dissemination of information related to social development	Included in plan	<ul style="list-style-type: none"> • Number of new research projects (including regional projects) mounted in UWI-12 and other underserved communities
	<ul style="list-style-type: none"> • Create a student-centred environment. - Achieve and sustain a high level of student satisfaction through efficiency in student administration, access to library and information resources, the functioning of the examination system, etc. - Develop a support structure for ICT delivery of programmes and services. - Implement an accessible student 	<p>Work with registry to achieve by January 2009</p> <p>January 2009</p> <p>July 2009</p>	<ul style="list-style-type: none"> • Number of graduate students from UWI-12 involved in research projects of relevance to the target countries • Increase in recruitment of UWI-12 students to Campuses

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
OPEN CAMPUS (Continued)	information system that covers academic and financial policies and procedures clearly and comprehensively.		
	<ul style="list-style-type: none"> - Establish a 24/7 Help Desk Centre. - Set up mechanisms for remediation. - Enhance student experiences by facilitating student (and staff) interaction through virtual and geophysical communities. 	<p>July 31, 2008</p> <p>Included in plan</p> <p>Work out action plan for existing programmes by November 2008 and build strategy into new programmes.</p>	

TRANSFORMING THE LEADERSHIP & ADMINISTRATIVE CULTURE AND PROCESSES

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>To transform the leadership and administrative culture and processes to enable the University to be agile, efficient and effective in the 21st century context.</p>	<ul style="list-style-type: none"> • Utilize fully the potential capabilities of the Enterprise Systems. <ul style="list-style-type: none"> - Conduct operational audits in PeopleSoft HR, Banner Financial, and Banner Students Enterprise Systems. - Conduct process mapping of all core processes and re-engineer as required. - Redefine jobs and work processes. - Ensure linking of Registry and Bursary operations with Faculties to form a mutually supportive system aligned to the University's strategic priorities. 	<p>Completion of operational audits by July 31, 2008</p> <p>Complete December 2008</p> <p>Complete July 31, 2009</p> <p>July 31, 2009</p>	<ul style="list-style-type: none"> • Full potential of three enterprise systems (Banner Students, Banner Financial, and PeopleSoft) being utilized • Number of administrative processes redesigned to change the way in which UWI does business and to leverage potential of enterprise systems • Number of jobs redefined in line with changes in processes • Number of employees trained to build needed competences for the re-engineered environment • Achievement of standards established by benchmarks • Attainment of targeted improvement in productivity (10%) by 2009 • Reduction in administrative cost by targeted percentage (10%) by 2010 • Feedback on internal and external stakeholder satisfaction as measured by surveys • Propagation of best practices across the university system
	<ul style="list-style-type: none"> • Reform the administrative structures to support achievement of the vision and aspirations of UWI for the planning period. <ul style="list-style-type: none"> - Complete implementation of the recommendations of the Chancellor's Task Force on Governance. - Implement the recommendations of the Management Review Consultancy that have been approved. - Implement recommendations of the reviews of Examinations and Admissions procedures. - Ensure appropriate linking of the budget processes to operational plans. 	<p>July 2009</p> <p>January 2009</p> <p>On-going annual budgets to be completed annually by November 30</p>	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
TRANSFORMATION OF UWI'S LEADERSHIP & ADMINISTRATIVE CULTURE AND PROCESSES (Continued)	<ul style="list-style-type: none"> • Develop an ideology to be embraced as the University's Way on work performance and people relations in and out of campus. <ul style="list-style-type: none"> - Design and obtain buy-in for an inspiring institutional philosophy that will motivate staff to strive for excellence in performance. 	January 2009	<ul style="list-style-type: none"> • Full potential of three enterprise systems (Banner Students, Banner Financial, and PeopleSoft) being utilized • Number of administrative processes redesigned to change the way in which UWI does business and to leverage potential of enterprise systems • Number of jobs redefined in line with changes in processes • Number of employees trained to build needed competences for the re-engineered environment • Achievement of standards established by benchmarks • Attainment of targeted improvement in productivity (10%) by 2009 • Reduction in administrative cost by targeted percentage (10%) by 2010 • Feedback on internal and external stakeholder satisfaction as measured by surveys • Propagation of best practices across the university system
	<ul style="list-style-type: none"> • Develop a Service Quality Assurance mechanism to establish people-centred standards for the functioning of the University and the service output. <ul style="list-style-type: none"> - Explore the possibilities of adopting Six Sigma methodology. - Produce Quality Manuals setting out standards, processes and procedures. - Establish effective monitoring and review mechanisms. 	Begin September 2008; Complete by July 2009 July 31, 2009 Identify mechanisms and functions, November 2008	
	<ul style="list-style-type: none"> • Create a framework to strengthen accountability of staff. <ul style="list-style-type: none"> - Establish a framework to rationalize academic staff and their functions. - Institute performance management based on clear criteria in each of the following categories: Teacher dominant group; Research dominant group; Instructors; Professional group, and All-rounders. - Establish an ABC System for all categories of staff. - Review the relationship between WIGUT and the University. 	July 31, 2008 November 2008 September 2008 July 2009	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
TRANSFORMATION OF UWI'S LEADERSHIP & ADMINISTRATIVE CULTURE AND PROCESSES (Continued)	<ul style="list-style-type: none"> - Restructure compensation arrangements to facilitate flexibility for recruitment, while taking location into account – One University System philosophically rationalized. - Provide a system of rewards, incentives and disincentives to encourage alignment of staff effort and performance with desired outcomes. 	Policy and recommendations – January 2009 January 2009	<ul style="list-style-type: none"> • Full potential of three enterprise systems (Banner Students, Banner Financial, and PeopleSoft) being utilized • Number of administrative processes redesigned to change the way in which UWI does business and to leverage potential of enterprise systems • Number of jobs redefined in line with changes in processes • Number of employees trained to build needed competences for the re-engineered environment • Achievement of standards established by benchmarks • Attainment of targeted improvement in productivity (10%) by 2009 • Reduction in administrative cost by targeted percentage (10%) by 2010 • Feedback on internal and external stakeholder satisfaction as measured by surveys • Propagation of best practices across the university system
	<ul style="list-style-type: none"> • Build and renew institutional capacity on a sustainable basis. - Provide staff training and development opportunities to assist in building needed capacity. - Develop and implement an effective system for succession planning. - Acquire and install a “Hiring the Best” Software to assist in the selection of people. - Develop a Registry Score Card. 	Plan due November 2008 November 2008 July 31, 2008 November 1, 2008	
	<ul style="list-style-type: none"> • Strengthen the structure and processes for University-wide planning. - Appoint a Pro Vice Chancellor for Planning and Development. - Ensure that plans adequately address associated resource requirements. - Orchestrate the tactical planning required for the implementation of the strategic plans. - Institutionalize the preparation of sound operational/action plans that are linked to budgets. 	Done Complete by December 2008 Complete in September 2008 for 2008/09 academic year; Annually thereafter Complete in September 2008 for 2008/09 academic year; Annually thereafter	

MARKETING AND BRANDING

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
MARKETING AND BRANDING	<ul style="list-style-type: none"> • Develop a University wide Marketing & Communications Strategy that is focused on establishing the brand promise of UWI, regionally and internationally, as the premier higher education institution in the region. - Prepare a Marketing & Communications Plan aligned to and fully supportive of UWI's strategic priorities. - Develop an information strategy to promote UWI in the mass media as being first in education, research and the provision of expert services. - Formulate a Web-based strategy to project UWI effectively in terms of the breadth and richness of its different dimensions. - Develop an internal communication strategy to enhance awareness of the strategic priorities of the University and to foster support for plan implementation. - Support and project UWI locally, regionally and internationally in relation to its achievements and existing capacities and its development goals. 	<p>July 31, 2008</p> <p>July 31, 2008</p> <p>July 31, 2008</p> <p>Action Plan for period September 2008 – June 2009 due July 2008</p> <p>On-going 2007-2012</p>	<ul style="list-style-type: none"> • Return on Investment (ROI) in relation to coverage in print media – in campus country • Return on Investment (ROI) in relation to (a) radio related material, and (b) television-related expenditure – in campus country • Return on Investment (ROI) in relation to marketing and communication expenditure in UWI-12 countries • Feedback from Internal stakeholders in relation to marketing and communication support for (a) four key imperative and (b) six enablers – measured by surveys • Evidence of high-impact or special interventions • Reach and impact of marketing documents produced as institutional material (e.g. Stan, UWI Today, Pelican) • Feedback from national/regional communities in response to image projection and brand promise – measured by surveys • Extent to which the contribution of UWI to knowledge creation and education are leveraged for brand and reputation building in the market place

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
MARKETING AND BRANDING (Continued)	<ul style="list-style-type: none"> • Build capacity for effective Marketing and Communications. - Review, restructure and resource the Marketing and Communications function to ensure that there is adequate capacity to develop and implement initiatives in support of the plan. 	July 2009	

FUNDING THE ENTERPRISE

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
FUNDING THE ENTERPRISE	<ul style="list-style-type: none"> • Alter the modalities of funding from contributing governments. <ul style="list-style-type: none"> - Obtain firm commitment from governments for financing the Plan. - Secure disbursement of funding on the basis of a multiyear period. - Explore scope for Serial Bond issues. - Establish mechanisms for dialogue and accountability. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Elimination of arrears of contributions • Target of US\$60 million in funds sourced annually from the private sector for priority capital development projects • Three (3) percentage points annual increase in the gap between non-government funding and subventions over the plan period • Two (2) percent reduction in operational cost in each cost centre • One (1) percent reduction in administrative cost overall for each of the 5 years of the plan period • Target increase of 100 in the number of bursaries awarded to deserving students each year • Increase in proportion of economic cost recovered through tuition fees
	<ul style="list-style-type: none"> • Ensuring adequate financing for capital requirements. <ul style="list-style-type: none"> - Develop new sources and innovative methods of capital funding. - Secure agreements on the allocation of government funding for specific capital requirements. - Design and issue sub-sovereign bond instruments. - Put mechanisms in place for early identification and assessment of emerging capital needs. 	Five-Year Plan for financing to be presented to Council in 2009	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
FUNDING THE ENTERPRISE (Continued)	<ul style="list-style-type: none"> • Design and advance new approaches for the funding of expansion and strengthening of research activity on a sustainable basis. <ul style="list-style-type: none"> - Promote the establishment and funding of a Caribbean Research & Competitiveness Funding Agency. - Establish targets for contracted/sponsored research. - Allocate funds in the recurrent budget to support the strengthening of research capacity and the development of staff in proposal writing for research grant funding. - Allocate funds in the recurrent budget to support research and knowledge creation in selected areas of high priority. - Mobilize direct financial support from both private sector and governmental agencies to address stakeholder needs through research in priority areas. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Elimination of arrears of contributions • Target of US\$60 million in funds sourced annually from the private sector for priority capital development projects • Three (3) percentage points annual increase in the gap between non-government funding and subventions over the plan period • Two (2) percent reduction in operational cost in each cost centre • One (1) percent reduction in administrative cost overall for each of the 5 years of the plan period • Target increase of 100 in the number of bursaries awarded to deserving students each year • Increase in proportion of economic cost recovered through tuition fees
	<ul style="list-style-type: none"> • Broaden the funding base and reduce overdependence on any one source. <ul style="list-style-type: none"> - Exploit opportunities for growing earned income through engagement in commercial activities and investments. - Leverage real property and other assets to facilitate access to private sector funding. - Establish a University Consultancy Company. 	Five-Year Plan for financing to be presented to Council in 2009	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
FUNDING THE ENTERPRISE (Continued)	<ul style="list-style-type: none"> - Restructure and strengthen the existing Business Development Offices after redefining their roles. - Develop funding from philanthropic sources through (i) a comprehensive Planned Giving strategy, (ii) establishment of units devoted exclusively to fund raising, and (iii) provision of dedicated funding mechanisms for alumni development. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Elimination of arrears of contributions • Target of US\$60 million in funds sourced annually from the private sector for priority capital development projects • Three (3) percentage points annual increase in the gap between non-government funding and subventions over the plan period • Two (2) percent reduction in operational cost in each cost centre
	<ul style="list-style-type: none"> - Lobby for the harmonization of government policy across the region to create a policy framework for fiscal incentives attached to gifts to the University. - Establish a Regional UWI Endowment Fund and pursue relationship building and structured fund-raising activities in order to tap into all potential sources of contributions efficiently. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • One (1) percent reduction in administrative cost overall for each of the 5 years of the plan period • Target increase of 100 in the number of bursaries awarded to deserving students each year • Increase in proportion of economic cost recovered through tuition fees
	<ul style="list-style-type: none"> • Create conditions that will allow the University to maintain a consistent policy of equitable burden-sharing through tuition fees, independent of the policy stance of contributing governments. - Advocate student financing support schemes with the following features:- (a) well-structured contingent loan arrangements, (b) loan-approval by programme rather than year to year decisions, (c) flexible loan guarantees, (d) support for living expenses of students. - Encourage governments to enhance the capacity of the Loan Agencies. 	Five-Year Plan for financing to be presented to Council in 2009	

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
FUNDING THE ENTERPRISE (Continued)	<ul style="list-style-type: none"> - Assist as far as possible in facilitating the sourcing of funds for student loan schemes from government and international organizations. 		<ul style="list-style-type: none"> • Elimination of arrears of contributions
	<ul style="list-style-type: none"> • Secure adequate funding for the successful implementation of the Open Campus initiative. <ul style="list-style-type: none"> - Establish a viable and sustainable financing mechanism for the UWI Open Campus. - Obtain firm commitments for capital grants from the UWI-12 governments for the capital needs of the Open Campus in their respective countries. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Target of US\$60 million in funds sourced annually from the private sector for priority capital development projects • Three (3) percentage points annual increase in the gap between non-government funding and subventions over the plan period • Two (2) percent reduction in operational cost in each cost centre • One (1) percent reduction in administrative cost overall for each of the 5 years of the plan period • Target increase of 100 in the number of bursaries awarded to deserving students each year
	<ul style="list-style-type: none"> • Minimize the need for new resources. <ul style="list-style-type: none"> - Identify the scope for further rationalization of academic programmes and institutional arrangements. Implement desirable changes expeditiously. - Continue to make improvements in procurement management and the oversight of providers of outsourced services. - Utilize the potential of advances in telecommunications technology to reduce travelling costs. - Improve facilities management. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Increase in proportion of economic cost recovered through tuition fees

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
FUNDING THE ENTERPRISE (Continued)	<ul style="list-style-type: none"> • Link financial support to progress in building capacity. <ul style="list-style-type: none"> - Provide support through matching grants to Departments for research work that is closely related to UWI's mission and which has won external sources of grant funding. - Provide support through matching grants to Departments for research work and graduate training closely related to Mission, where restructuring and rationalization have led to financial gains from more efficient use of resources. 	Five-Year Plan for financing to be presented to Council in 2009	<ul style="list-style-type: none"> • Elimination of arrears of contributions • Target of US\$60 million in funds sourced annually from the private sector for priority capital development projects • Three (3) percentage points annual increase in the gap between non-government funding and subventions over the plan period • Two (2) percent reduction in operational cost in each cost centre • One (1) percent reduction in administrative cost overall for each of the 5 years of the plan period • Target increase of 100 in the number of bursaries awarded to deserving students each year • Increase in proportion of economic cost recovered through tuition fees
	<ul style="list-style-type: none"> • 		

STRENGTHENING REGIONALITY

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>STRENGTHENING REGIONALITY - To enhance effectiveness through better internal integration of the institution.</p>	<ul style="list-style-type: none"> • Enhance effectiveness through better internal integration and rationalization within UWI. - Assist in the propagation of identified best practices across the university system. - Contribute to cross-department rationalization and mutual enhancement to support strategic objectives of the University. - Develop and use case studies and other teaching material from across the University to enhance students' knowledge of other Caribbean countries. - Support student participation in the Caribbean Integration Project. - Facilitate staff exchange initiatives across the University system. 	<p>Best practices lists to be shared, rationalized and approved for adoption by November 2008</p> <p>To be included in Departmental Plans</p> <p>Each course to develop at least one new Caribbean case study annually</p> <p>Plan for one project on each Campus each year for five years due November 2008</p> <p>University Registrar to establish regime by January 2009</p>	<ul style="list-style-type: none"> • Number of students opting for other campus experience through integration projects and other opportunities • Number of multi-campus on-line undergraduate courses • Number of on-line multi-campus Taught Masters courses • Number of regional students in relation to target on each campus • Number of funded regional research students at postgraduate level • Number of departments agreeing to harmonize curriculum and rationalize resources with an explicit agenda • Number of new programmes of direct relevance to the region • Demonstrated regional relevance of Ph.D/M.Phil graduates and research interest of new research graduates • Evidence of pooling of university resources to respond to national or regional needs and demand • Harmonization and strategic deployment of resources across faculties

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
STRENGTHENING REGIONALITY (Continued)	<ul style="list-style-type: none"> • Enhance responsiveness and the impact of UWI on regional development. <ul style="list-style-type: none"> - Re-prioritize the research agenda of the Departments and Faculties to heighten responsiveness to and impact on regional stakeholder needs. - Support initiatives of the University to assist in strengthening primary and secondary school systems in the region. 	Faculty driven – included in Action Plans	<ul style="list-style-type: none"> • Number of students opting for other campus experience through integration projects and other opportunities • Number of multi-campus on-line undergraduate courses • Number of on-line multi-campus Taught Masters courses • Number of regional students in relation to target on each campus • Number of funded regional research students at postgraduate level • Number of departments agreeing to harmonize curriculum and rationalize resources with an explicit agenda • Number of new programmes of direct relevance to the region • Demonstrated regional relevance of Ph.D/M.Phil graduates and research interest of new research graduates • Evidence of pooling of university resources to respond to national or regional needs and demand • Harmonization and strategic deployment of resources across faculties
	<ul style="list-style-type: none"> • Expand and deepen links with TLIs. <ul style="list-style-type: none"> - Strengthen links in relation to articulation agreements, quality assurance, curriculum development initiatives, training of TLI teaching staff, etc. 	Rationalize framework for collaboration with TLIs by 2008. Strengthening of links on-going 2007-2012.	
	<ul style="list-style-type: none"> • Develop relations with the Private Sectors for a range of benefits. <ul style="list-style-type: none"> - Participate in the expansion and deepening of relations with the private sectors in relation to sponsored research, strengthening of curricula, development of new education and training programmes, student internships, etc. 	Executive Management to develop an agenda of action initiatives over the 5 year period by December 2008.	

STRENGTHENING NATIONAL ENGAGEMENT PROCESSES

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
<p>STRENGTHENING NATIONAL ENGAGEMENT PROCESSES - To increase UWI's impact/influence on national policy making.</p>	<ul style="list-style-type: none"> • Increase UWI's impact/ influence on national policy making and programme formulation. <ul style="list-style-type: none"> - Expand the University's involvement in sector research and analysis relevant to national stakeholder needs. - Develop well-founded recommendations for policy reform. - Review social, economic and environmental issues affecting citizens and provide appropriate recommendations. - Encourage and facilitate student involvement in community and service learning initiatives. 	<p>Faculties to work with Principal to develop National Engagement Agenda, November 2008</p>	<ul style="list-style-type: none"> • Number of national scholars recruited to Campus • Level of satisfaction of students with their education after graduation • Feedback from surveys of national stakeholders on University's strengths and weaknesses • Value of contributions from alumni • Value of financial support from business and industry • Number of programmes responding directly to national development needs • Number of policy related engagements
	<ul style="list-style-type: none"> • Address underserved educational and research needs in each contributing country. <ul style="list-style-type: none"> - Ensure that Departmental and Faculty initiatives are more responsive to underserved needs of national stakeholders. 	<p>Faculties to work with Principal to develop a structured programme to address specific country needs - ? By January 2009, each Campus to develop specific country focused agenda to address those pressing problems.</p>	
	<ul style="list-style-type: none"> • Heighten awareness of UWI's contributions to national development and the dynamic relationship with regional character. 	<p>To be addressed in Marketing & Communication Plan drawn up in collaboration with Faculties and Departments.</p>	

INTERNATIONAL PARTNERSHIPS

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
INTERNATIONAL PARTNERSHIPS (INTERNATIONALISATION)	<ul style="list-style-type: none"> • Strengthen and expand inter-institutional relationships to support regional development priorities through resource acquisition, capacity building and enhancement of the knowledge infrastructure. - Increase the number of customized working relationships designed strategically to deliver specific benefits to UWI. - Expand staff and student exchanges. - Increase education enrichment opportunities for UWI students. - Make greater use of visiting fellowships and professorships. - Strengthen and expand academic programme offerings at the graduate level in priority areas through partnerships. - Enhance the capacity for academic supervision linked to co-supervision arrangements with faculty from other universities. - Expand collaboration with international partners efficiently with the support of the International Offices. - Develop short seminars focused on best practice involving international partners. - Link websites of international partners for 	<p>Five Year Action Plan to be completed by November 30, 2008 - Implementation on-going 2008-2012.</p> <ul style="list-style-type: none"> • Policy on internationalization to be completed by May 2008 • Five Year Action Plan to be completed by November 30, 2008 • Structure to ensure implementation of Action Plan to be established by July 2008 	<ul style="list-style-type: none"> • Number of international undergraduates in relation to target • Number of graduate students in relation to target • Number of international postdocs • Number of UWI graduate students placed in partner institutions • Number of international examiners involved in Ph.D/M.Phil supervision • Number of UWI faculty involved in supervisory teams in partner institutions • Active student exchanges of a reciprocal nature customized with partner institutions • Number of UWI study abroad programmes • Number of active collaborations and number of staff participating in arrangements • Number of staff exchanges and attachments and visiting professorships • Number of UWI Ph.D graduates placed in international postdoctoral programmes

AIM	STRATEGIES	TIME FRAME	PERFORMANCE INDICATORS
INTERNATIONAL PARTNERSHIPS (INTERNATIONALISATION) Continued	mutual support. - Expand enrolment of competitive international students in undergraduate programmes.		
	- Develop strategic customized programmes for undergraduates in study abroad. Increase the number of UWI students involved in international exchanges. - Sensitize national communities to international opportunities at UWI. - Engage in collaborative bidding for international projects. - Provide graduate students with opportunities to participate in short seminars abroad. - Mount short seminars at UWI for international graduate students.	Five Year Action Plan to be completed by November 30, 2008 - Implementation on-going 2008-2012. <ul style="list-style-type: none"> • Policy on internationalization to be completed by May 2008 • Five Year Action Plan to be completed by November 30, 2008 • Structure to ensure implementation of Action Plan to be established by July 2008 	<ul style="list-style-type: none"> • Number of international undergraduates in relation to target • Number of graduate students in relation to target • Number of international postdocs • Number of UWI graduate students placed in partner institutions • Number of international examiners involved in Ph.D/M.Phil supervision • Number of UWI faculty involved in supervisory teams in partner institutions • Active student exchanges of a reciprocal nature customized with partner institutions • Number of UWI study abroad programmes • Number of active collaborations and number of staff participating in arrangements • Number of staff exchanges and attachments and visiting professorships • Number of UWI Ph.D graduates placed in international postdoctoral programmes

APPENDIX I
FINANCIAL PROJECTIONS

APPENDIX I(a) - CAVE HILL CAMPUS

FINANCIAL PROJECTIONS

(BD\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Approved	Approved	Projections		
	Budget	Budget	Budget	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations Level	94.6	136.9	136.5	141.5	145.0	149.3
Repayment of Long-Term Loans	6.2	6.2	6.2	13.3	13.3	13.3
Sub-Total	100.8	143.1	142.7	154.8	158.3	162.6
Expenditure Related to Strategic Themes and Enablers	-	-	21.2	21.5	22.0	23.1
Special Projects Expenditure	30.8	32.5	34.3	36.2	38.2	40.3
TOTAL EXPENDITURE	131.6	175.6	198.2	212.5	218.5	226.0
INCOME						
Contribution of Governments for Operating Costs	72.4	109.3	115.7	128.4	131.5	136.1
Contribution of Governments for Servicing L-T Loans	6.2	6.2	6.2	13.3	13.3	13.3
Total Government Contributions	78.6	115.5	121.9	141.8	144.8	149.4
Tuition Fees	19.3	24.6	28.0	30.6	31.6	32.2
Income	2.9	3.0	14.1	4.0	3.9	4.1
Sub-Total	100.8	143.1	163.9	176.3	180.3	185.7
Special Projects	30.8	32.5	34.3	36.2	38.2	40.3
TOTAL INCOME	131.6	175.6	198.2	212.5	218.5	226.0
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	-	16.9	15.0	14.3	14.0
Graduate Studies	-	-	1.6	1.9	2.1	2.1
Research and Innovation	-	-	-	1.0	1.0	1.0
Service to UWI-12 & Other Underserved Communities	-	-	-	-	-	-
Priority Enablers						
Transforming the Administrative Culture and Processes	-	-	2.2	2.3	2.3	2.5
Marketing and Branding	-	-	0.5	0.5	0.5	0.8
Funding the Enterprise	-	-	-	-	0.5	0.8
Strengthening Regionality	-	-	-	0.3	0.3	0.5
Strengthening National Engagement Processes	-	-	-	-	0.3	0.5
International Partnerships	-	-	-	0.5	0.7	1.0
Total Projected Expenditure - All Themes	-	-	21.2	21.5	22.0	23.2

APPENDIX I(b) - MONA CAMPUS

FINANCIAL PROJECTIONS

(JA\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Approved	Approved	Projections		
	Budget	Budget	Budget	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations at the Current Level	5,944.1	6,492.3	6,622.9	7,455.3	7,723.0	8,072.0
Repayment of Long-Term Loans	191.4	206.7	223.1	240.7	240.7	240.7
Sub-Total	6,135.5	6,699.0	6,846.0	7,696.0	7,963.7	8,312.7
Expenditure Related to Strategic Themes and Enablers	-	-	215.0	626.3	648.8	678.2
Special Projects Expenditure	2,767.8	2,961.5	3,168.8	3,390.6	3,628.0	3,881.9
TOTAL EXPENDITURE	8,903.3	9,660.5	10,229.8	11,712.9	12,240.5	12,872.8
INCOME						
Contribution of Governments for Operating Costs	4,704.0	4,854.5	4,984.4	6,158.2	6,367.9	6,651.0
Contribution of Governments for Servicing L-T Loans	191.4	206.7	223.1	240.7	240.7	240.7
Total Government Contributions	4,895.4	5,061.3	5,207.4	6,398.9	6,608.5	6,891.6
Tuition Fees	1,040.1	1,415.4	1,613.6	1,664.5	1,722.5	1,798.2
Income	200.0	222.4	239.9	258.9	281.5	301.2
Sub-Total	6,135.5	6,699.0	7,061.0	8,322.3	8,612.5	8,990.9
Special Projects	2,767.8	2,961.5	3,168.8	3,390.6	3,628.0	3,881.9
TOTAL INCOME	8,903.3	9,660.5	10,229.8	11,712.9	12,240.5	12,872.8
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	-	115.0	156.5	162.1	170.3
Graduate Studies	-	-	50.0	299.3	310.1	324.1
Research and Innovation	-	-	-	42.5	44.0	45.6
Service to UWI-12 & Other Underserved Communities	-	-	-	64.5	66.8	69.8
Priority Enablers						
Transforming the Administrative Culture and Processes	-	-	-	27.5	28.5	29.8
Marketing and Branding	-	-	50.0	15.0	15.5	16.2
Funding the Enterprise	-	-	-	-	-	-
Strengthening Regionality	-	-	-	5.0	5.2	5.4
Strengthening National Engagement Processes	-	-	-	6.0	6.2	6.5
International Partnerships	-	-	-	10.0	10.4	10.4
Total Projected Expenditure - All Themes	-	-	215.0	626.3	648.8	678.1

APPENDIX I(c) - ST. AUGUSTINE CAMPUS**FINANCIAL PROJECTIONS**

(TT\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Approved	Approved	Projections		
	Budget	Budget	Budget	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations Level	400.3	451.2	517.9	538.4	574.8	612.5
Repayment of Long-Term Loans	12.1	12.3	12.3	12.3	12.3	12.3
Sub-Total	412.4	463.4	530.2	550.7	587.1	624.7
Expenditure Related to Core Themes and Enablers	-	33.2	29.0	33.2	36.2	38.8
Special Projects Expenditure	229.5	246.1	263.8	282.8	303.1	325.0
TOTAL EXPENDITURE	642.0	742.7	823.1	866.7	926.4	988.5
INCOME						
Contribution of Governments for Operating Costs	300.3	369.4	432.0	456.7	491.0	526.3
Contribution of Governments for Servicing L-T Loans	12.1	12.3	12.3	12.3	12.3	12.3
Total Government Contributions	312.4	381.6	444.3	468.9	503.3	538.5
Tuition Fees	75.0	85.0	85.0	85.0	90.0	95.0
Income	25.0	30.0	30.0	30.0	30.0	30.0
Sub-Total	412.4	496.6	559.3	583.9	623.3	663.6
Special Projects	229.5	246.1	263.8	282.8	303.1	325.0
TOTAL INCOME	642.0	742.7	823.1	866.7	926.4	988.5
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	25.8	23.3	24.5	27.4	28.5
Graduate Studies	-	1.5	2.3	3.2	4.1	4.9
Research and Innovation	-	1.7	1.0	2.0	2.0	2.3
Service to UWI-12 & Other Underserved Communities	-	0.5	0.5	0.5	0.5	0.5
Priority Enablers						
Transforming the Administrative Culture and Processes	-	2.7	1.2	1.0	-	-
Marketing and Branding	-	-	-	-	-	-
Funding the Enterprise	-	0.3	0.5	0.7	1.0	1.2
Strengthening Regionality	-	-	-	-	-	-
Strengthening National Engagement Processes	-	0.3	-	0.1	-	0.1
International Partnerships	-	0.4	0.2	1.2	1.2	1.3
Total Projected Expenditure - All Themes	-	33.2	29.0	33.2	36.2	38.8

APPENDIX I(d) - OPEN CAMPUS**FINANCIAL PROJECTIONS**

(BD\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Approved	Approved	Projections		
	Budget	Budget	Budget	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations Level	29.7	32.5	34.5	36.8	38.2	39.7
Repayment of Long-Term Loans	-	-	-	-	-	-
Sub-Total	29.7	32.5	34.5	36.8	38.2	39.7
Expenditure Related to Strategic Themes and Enablers	-	-	11.7	15.2	18.7	20.8
Special Projects Expenditure	-	-	-	-	-	-
TOTAL EXPENDITURE	29.7	32.5	46.3	52.0	56.9	60.5
INCOME						
Contribution of Governments for Operating Costs	19.7	23.2	28.5	25.7	28.9	29.8
Contribution of Governments for Servicing L-T Loans	-	-	-	-	-	-
Total Government Contributions	19.7	23.2	28.5	25.7	28.9	29.8
Tuition Fees	10.0	8.8	17.1	25.7	27.2	30.0
Income	-	0.5	0.6	0.7	0.7	0.7
Sub-Total	29.7	32.5	46.3	52.0	56.9	60.5
Special Projects	-	-	-	-	-	-
TOTAL INCOME	29.7	32.5	46.3	52.0	56.9	60.5
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	-	-	-	-	-
Graduate Studies	-	-	-	-	-	-
Research and Innovation	-	-	-	-	-	-
Service to UWI-12 & Other Underserved Communities	-	-	-	-	-	-
Priority Enablers						
Transforming the Administrative Culture and Processes	-	-	-	-	-	-
Marketing and Branding	-	-	-	-	-	-
Funding the Enterprise	-	-	-	-	-	-
Strengthening Regionality	-	-	-	-	-	-
Strengthening National Engagement Processes	-	-	-	-	-	-
International Partnerships	-	-	-	-	-	-
Total Projected Expenditure - All Themes	-	-	11.7	15.2	18.7	20.8

APPENDIX I(e) -TOTAL CENTRE - CONSOLIDATED

FINANCIAL PROJECTIONS

(BD\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Approved	Approved	Projections		
	Budget	Budget	Budget	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations Level	54.0	60.8	65.3	70.8	74.1	76.5
Repayment of Long-Term Loans	1.1	1.1	1.1	1.1	1.1	1.1
Sub-Total	55.1	61.9	66.4	71.9	75.2	77.5
Expenditure Related to Strategic Themes and Enablers	-	1.9	5.1	8.5	10.6	10.9
Special Projects Expenditure	22.5	24.1	25.7	27.5	29.5	31.5
TOTAL EXPENDITURE	77.5	87.9	97.2	107.8	115.2	119.9
INCOME						
Contribution of Governments for Operating Costs	38.6	44.4	51.7	57.6	61.7	63.6
Contribution of Governments for Servicing L-T Loans	1.1	1.1	1.1	1.1	1.1	1.1
Total Government Contributions	39.7	45.4	52.8	58.8	62.8	64.6
Tuition Fees	13.6	15.4	14.7	16.0	17.0	17.7
Income	1.8	3.1	4.0	5.5	5.8	6.1
Sub-Total	55.1	63.9	71.5	80.4	85.7	88.4
Special Projects	22.5	24.1	25.7	27.5	29.5	31.5
TOTAL INCOME	77.5	87.9	97.2	107.9	115.2	119.9
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	0.3	0.5	0.5	0.9	0.9
Graduate Studies	-	0.1	0.5	1.7	2.0	1.9
Research and Innovation	-	0.7	1.0	2.2	2.7	3.0
Service to UWI-12 & Other Underserved Communities	-	-	-	-	-	-
Priority Enablers						
Transforming the Administrative Culture and Processes	-	0.2	1.7	1.7	2.4	2.4
Marketing and Branding	-	-	-	-	-	-
Funding the Enterprise	-	-	-	-	0.2	0.2
Strengthening Regionality	-	0.6	1.4	1.4	1.4	1.5
Strengthening National Engagement Processes	-	-	-	-	-	-
International Partnerships	-	-	-	1.0	1.0	1.0
RECURRENT EXPENDITURE - ALL THEMES	-	1.9	5.1	8.5	10.6	10.9

APPENDIX I(f) - THE UNIVERSITY OF THE WEST INDIES CONSOLIDATED
FINANCIAL PROJECTIONS
(BD\$ Million)

EXPENDITURE AND INCOME ELEMENTS	Approved	Budget	Budget	Projections		
	Budget	Estimates	Estimates	2009/10	2010/11	2011/12
	2006/07	2007/08	2008/09			
CURRENT OPERATIONS						
RECURRENT EXPENDITURE						
For Operations at the Current Level	480.6	564.9	596.2	639.9	667.5	698.0
Repayment of Long-Term Loans	16.8	17.3	17.8	25.4	25.4	25.4
Sub-Total	497.4	582.2	613.9	665.4	692.9	723.4
Expenditure Related to Strategic Themes and Enablers	-	12.6	53.6	74.2	81.8	87.0
Special Projects Expenditure	207.9	222.1	237.3	253.6	271.0	289.6
TOTAL EXPENDITURE	705.2	816.8	904.9	993.2	1,045.8	1,100.1
INCOME						
Contribution of Governments for Operating Costs	364.7	437.4	480.2	538.5	565.9	592.8
Contribution of Governments for Servicing L-T Loans	16.8	17.3	17.8	25.4	25.4	25.4
Total Government Contributions	381.5	454.7	498.0	563.9	591.3	618.2
Tuition Fees	97.4	117.4	134.3	148.4	155.3	163.0
Income	18.5	22.7	35.3	27.3	28.2	29.3
Sub-Total	497.4	594.8	667.6	739.6	774.9	810.5
Special Projects	207.9	222.1	237.3	253.6	271.0	289.6
TOTAL INCOME	705.2	816.8	904.9	993.2	1,045.8	1,100.1
RESOURCES FOR STRATEGIC THEMES						
RECURRENT EXPENDITURE						
The Core Strategic Focus						
Teaching and Learning	-	8.5	39.9	43.2	47.4	49.8
Graduate Studies	-	0.6	4.3	13.4	14.5	15.1
Research and Innovation	-	1.3	1.3	5.1	5.6	6.1
Service to UWI-12 & Other Underserved Communities	-	0.1	0.2	2.1	2.1	2.2
Priority Enablers						
Transforming the Administrative Culture and Processes	-	1.2	4.3	5.1	5.5	5.8
Marketing and Branding	-	-	1.9	0.9	1.0	1.2
Funding the Enterprise	-	0.1	0.2	0.2	1.0	1.3
Strengthening Regionality	-	0.6	1.4	1.8	1.8	2.1
Strengthening National Engagement Processes	-	0.1	-	0.2	0.5	0.7
International Partnerships	-	0.1	0.1	2.2	2.4	2.7
RECURRENT EXPENDITURE - ALL THEMES	-	12.6	53.6	74.2	81.8	87.0

APPENDIX II
OVERVIEW OF PROJECTED CAPITAL DEVELOPMENT PROGRAMME – 2007-2012

CAMPUS PROJECT	Cost Estimate (US\$Mn)	Income Generating	Funding Source Targeted	Projected Timelines for Implementation of Capital Projects						
				2007/08	2008/09	2009/10	2010/11	2011/12	2012/13	2013/14
CAVE HILL										
Development of Black Rock Lands	8.0	N	Gov't							
Undergraduate Student Accommodation	12.5	Y	IFC							
Graduate Student Accommodation	20.0	Y	IFC							
New Library Building	7.0	N	IFC							
Phase 2 – Upgrade of SCMR (Medicine)	5.0	Y	IFC							
Development of CERMES	5.0	N	IFC							
Science Laboratories	4.5	N	Gov't grant							
Multipurpose Gymnasium	8.0	N	CDB/Govt							
Law Library/Law Faculty	3.0	N	Gov't grant							
Central Administration Bldg	4.0	N	Internal							
Multi-Storey Car Park (at Black Rock)	10.0	Y	Gov't/CDB							
Teaching Complex for General Use	5.0	N	Gov't grant							
Undergraduate Seminar Rooms and Labs	4.5	N	Debt/GG							
Graduate Studies Lecture Building	3.5	N	Debt/GG							
Retrofitting of Teaching Space for IT Use	2.0	N	Gov't grant							
Expansion of Computer Laboratories	2.5	N	Gov't grant							
CARICOM Research Park	2.0	N	Gov't grant							
Cultural Studies	5.0	N	Gov't grant							
Office Space Expansion – All Faculties	2.5	N	Gov't grant							
Total – Cave Hill	114.0									
MONA CAMPUS										
Development of Western Jamaica Campus ¹	10.0	N	IFC							
Basic Med. Sciences Complex, Undergraduate	30.0	N	IFC							
Mona Bowl Sporting Complex	38.0	Y	IFC							
Multifunctional Campus Centre Building	50.0	Y	China/Gov't							
Expansion of Library Space	3.3	N	Debt-n.							
Expansion of Student Accommodation (450)	9.0	Y	NHT/NPP							
New Lecture Theatre Buildings – Social Sciences	0.5	N	Internal Funds							
Student Services Centre Building – Social Sciences	1.0	N	Internal Funds							
Expansion of Lecture Theatre-Medical Sciences	5.0	Y	Internal Funds							
Carimac Building – Humanities & Education	2.0	Y	Internal/NPP							
Multi-Storey Car Park	5.0	N	China/Gov't							
MTS – Office Space	2.0	N	Govt/Donor							
Fine Arts Building	2.0	N	Debt – n.i.							
Information Technology Park	2.2	Y	Internal Funds							
Expansion of Sievwright Building for Nursing	1.4	Y	Internal Funds							
MTS – Alternative Back-Up system	1.7	N	Internal Funds							
University Hospital – Feasibility Study	1.0	N	Internal Funds							
Total - Mona	164.1									

¹Development of Western Jamaica Campus – Hospitality and Tourism School and Nursing School

AREAS OF STRATEGIC FOCUS & KEY STRATEGIES	Vice Chancellor	Principal & Deputy Principal	PVC OBUS & QA Units	PVC Graduate Studies	PVC Research	PVC (Open Campus)	PVC Planning & Development	University Registrar/ Campus Registrars	University Bursar & Campus Bursars	University Librarian & Campus Librarians	Faculty Deans & Dept. Heads	Heads of Research Institutes/Centres	IDUs	IT Directors	Estate Managers	Marketing & Communications Offices	Business Offices	Campus Coordinators	Other Owners
Examination process for research students					C						X								
Advanced IT and Laboratory resources and access to databases for graduate students and faculty				X	X				X	X	C			X					
Quality Assurance System for postgraduate programmes		X		C	X						X							X	
Throughput rates of research students		X			C		X				X							X	
Expand enrolment of full-time research students					C						X								
Cost of postgraduate research degrees		X			C				X		X								
Support of the postgraduate student population		X		C					X		X								
RESEARCH AND INNOVATION																			
Create an enabling environment for research and innovation – protected time, differential teaching loads		X			C						X								
Inter-campus and international collaboration in research and supervision				X	X						C	C							
Conduct research that is relevant to regional and national needs and drivers regional development	C	C			C	C					X	X					X		X
Conduct research of international repute and benchmark research performance against international norms	C	C			C						X	X							
Develop and sustain an innovation and enterprise culture		C			C						X						X		X
UWI-12 & OTHER UNDERSERVED COMMUNITIES																			
Establishment of policies for the management, development and implementation of open and flexible learning	X	X				C					X								X
Establishment of a viable and sustainable financing mechanism for the Open Campus	X	X				C			X										X
Establish and operationalise university-wide policies for the development and management of inter-institutional relationships						C													X
Establish and operationalise the UWI Open Campus	C					C		X	X		X					X			X

AREAS OF STRATEGIC FOCUS & KEY STRATEGIES	Vice Chancellor	Principal & Deputy Principal	PVC OBUS & QA Units	PVC Graduate Studies	PVC Research	PVC (Open Campus)	PVC Planning & Development	University Registrar/ Campus Registrars	University Bursar & Campus Bursars	University Librarian & Campus Librarians	Faculty Deans & Dept. Heads	Heads of Research Institutes/Centres	IDUs	IT Directors	Estate Managers	Marketing & Communications Offices	Business Offices	Campus Coordinators	Other Owners
Create a student centred learning environment for a diverse student body						C		X											X
Expand the range, reach and access to university programmes and services by students from the relevant target groups	C	X				C					X					X			X
Expand the number and scope of research activity in UWI 12					X	C					X	X							
Address the need for interventions in the UWI 12 to strengthen education at the primary and secondary levels particularly in Science, Mathematics and Languages						C					X								X
TRANSFORMING THE LEADERSHIP, MANAGERIAL AND ADMINISTRATIVE CULTURE & PROCESSES																			
Utilize the Enterprise Systems to full potential		X						C	X					X					
Conduct operational audits in PeopleSoft HR, Banner Financial, and Banner Students Enterprise Systems								C	X					X					
Link Registry and Bursary operations with Faculties to form a mutually supportive system aligned to the strategic priorities								C	X		X			X					
Set up Service Quality Assurance Units to set standards and monitor the quality of service outputs		X					X	C	X										X
Budgets to be aligned with operational plans	X	X					C		C		X								X
Implementation of relevant recommendations of the Chancellor's Task Force	C	X						X											
Strengthening of the interface between campus-based governance structures with UWI's central governance entities	C	X	X	X	X	X	X	X											
Implement recommendations of the Review of Management Structures currently underway	C	X						X	X										
Integration of administrative systems and services across the entire University	C	X						C	X					X					
Establishment of a single web portal to allow students and staff access to the enterprise system		X						X						C					
Re-engineer, standardize and integrate all administrative systems		X						C	X	X				X					
Determine appropriate management standards for each process in each system		X						C											
Ensure structures are in place to support recommended re-engineered processes		X						C						X					

AREAS OF STRATEGIC FOCUS & KEY STRATEGIES	Vice Chancellor	Principal & Deputy Principal	PVC OBUS & QA Units	PVC Graduate Studies	PVC Research	PVC (Open Campus)	PVC Planning & Development	University Registrar/ Campus Registrars	University Bursar & Campus Bursars	University Librarian & Campus Librarians	Faculty Deans & Dept. Heads	Heads of Research Institutes/Centres	IDUs	IT Directors	Estate Managers	Marketing & Communications Offices	Business Offices	Campus Coordinators	Other Owners
Audit offices of the Campus Registrars and Bursars as well as Faculty and Department Office to enhance administrative efficiency		X						C	X		X			X					
Strengthen the Faculties and Offices of the Deputy Principals		X						C	X		X			X					
Implement the competencies management module of PeopleSoft HRMS								X						C					
Develop and implement more responsive internal communication processes using appropriate ICT		X						X						C		C			
Develop an ideology to be embraced as the University's way on work performance and productivity and people relations.	X	X					X	C	X		X								
Create a framework to strengthen accountability on the part of staff		X						C			X								
Institute performance management based on clear criteria for all categories of staff		X						C											
Provide staff training and development opportunities to assist in building capacity		X	X					C	X		X								
Develop and implement an effective system for succession planning		X						C			X								
Plan Implementation Management																			
Appointment of a Pro Vice Chancellor for Planning and Development	C							X											
Institutionalize the preparation of sound operational plans linked to budgets		X					C	X	X										
Orchestrate the tactical planning required for the implementation of the strategic plan		X					C	X	X										
Ensure efficient monitoring and assessment of the implementation of programmes		X	X	X	X	X	C	X	X		X								
MARKETING AND BRANDING																			
Prepare a Marketing & Communications Plan aligned to and fully supportive of UWI's strategic priorities	X	X					C									C			
Review and revise the structure of the Marketing and Communication offices to ensure that the function is appropriately resourced.		X						X								C			
Develop an information strategy to promote UWI in the mass media as being first in education, research and the provision of expert services		X														C			

AREAS OF STRATEGIC FOCUS & KEY STRATEGIES	Vice Chancellor	Principal & Deputy Principal	PVC OBUS & QA Units	PVC Graduate Studies	PVC Research	PVC (Open Campus)	PVC Planning & Development	University Registrar/ Campus Registrars	University Bursar & Campus Bursars	University Librarian & Campus Librarians	Faculty Deans & Dept. Heads	Heads of Research Institutes/Centres	IDUs	IT Directors	Estate Managers	Marketing & Communications Offices	Business Offices	Campus Coordinators	Other Owners
Assist regional TLIs in curriculum development, quality assurance and the building of teaching staff capacity.			X			C					X								X
Continue to forge articulation agreements with regional TLIs to facilitate the seamless advancement of students seeking to realize their educational goals.						C					X								X
STRENGTHENING NATIONAL ENGAGEMENT																			
Document and effectively publicize the contribution of the University and its staff to the policy formulation and evaluation processes in member countries.		C									X	X				X			
Improve dissemination mechanisms to allow a broader national audience to become aware of the scholarship, research work and other outputs of the University.		C			X	X			X	X	X	X				X			
Recognize and support the contribution of UWI's staff to national planning and policy making processes, national engagement and outreach activities.	C	C			X	C					X	X							X
Provide students and staff with opportunities for structured community engagement.		C									X								X
Actively seek out opportunities for the University to be represented formally in all regional forums.		C									X	X							
Establish structures for identifying and analyzing UWI's outreach activities at different levels of society on an on-going basis.		C				C					X					X			
Prioritize needs and develop initiatives to assist in addressing the identified gaps.		C			X	C					X							X	X
Mount research initiatives with appropriate support to analyze selected economic, social and environmental issues.		C			C	C					X	X							
INTERNATIONAL PARTNERSHIPS																			
Utilize more collaborative strategies in relation to knowledge creation and transfer, access to sources of finance, enrichment of educational experience for UWI students, etc.		X					C		X		X					X			X
Strengthen campus international offices		C					C	X	X										
Build new alliances and deepen existing ones with strategic partners to advance UWI's strategic goals.		C			C		C				X	X							

